

STATE OF CALIFORNIA

REPORT TO THE JOINT LEGISLATIVE BUDGET COMMITTEE

**Data to Facilitate Legislative Review of the Outcomes of
the Dual Agency Program and Payment Changes**

**California Department of Social Services and the Department of
Developmental Services Consumer Data Reporting Period**

July 2017 to December 2017



Welfare and Institutions Code section 11464(h)

LEGISLATIVE MANDATE

Welfare and Institutions Code section 11464(h), added by Senate Bill 84 (Chapter 177, Statutes of 2007), requires the California Department of Social Services and the Department of Developmental Services to semiannually submit to the Joint Legislative Budget Committee a report to facilitate review of the outcomes of the rate structure changes for rates paid on behalf of children who are both consumers of California regional center services and receive Aid to Families with Dependent Children–Foster Care, Kin-GAP, or Adoption Assistance Program benefits.

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EXECUTIVE SUMMARY

Background

This report to the Joint Legislative Budget Committee (JLBC) represents a six-month reporting period to include July 2017 through December 2017 data and provides the Legislature with information regarding the outcomes of establishing a rate structure for children who are both consumers¹ of California regional center services and receive Aid to Families with Dependent Children-Foster Care (AFDC-FC), Kinship-Guardianship Assistance Payment (Kin-GAP) or Adoption Assistance Program (AAP) benefits. A consumer of California regional center services is a child receiving services from a California regional center due to a developmental disability as defined by Welfare and Institutions Code (WIC) section 4512 (Lanterman Act) or a child receiving services under Government Code section 95000 (California Early Intervention Services Act [CEISA]). Children in the dual agency AFDC-FC population eligible to receive a dual agency rate are those children placed in a licensed community care facility that is not vendorized, the approved home of a relative, the approved home of a non-relative extended family member, the home of a non-related legal guardian, or the former non-related legal guardian when the guardianship of a child otherwise eligible for AFDC-FC has been dismissed due to the child attaining 21 years of age. For purposes of this report, the eligible population of children will be referred to as “dual agency” children.

The caseloads for this report covers July 1, 2017 to December 31, 2017. This report does not reflect all children in foster care receiving services from a California regional center. The report excludes caseloads of children placed in the certified home of a foster family agency (FFA) or a group home.

Summary²

This report’s data reflects dual agency children in foster care for at least two days in December 2017, adoptions finalized by December 31, 2017, and dual agency children in foster care for at least two days in December 2017 who exited to Kin-GAP through

¹ The word “consumer” is defined in WIC 4512(d) as a person who has been determined to have a disability that meets the definition of developmental disability in that section. For this report, the word “consumer” is used to refer to both children who are consumers of regional center services, and those who are receiving services under the California Early Intervention Services Act (CEISA), but who are under the age of three and have not yet been determined to have a developmental disability. This is consistent with the legislative intent of the report as set forth in WIC 11464(h), which includes children receiving CEISA services in the description of “consumers” for whom the data is to be reported.

²Summary data and information less than 10 percent in the following categories, caseload, caseload and payment rate, supplement to the dual agency rate will not be discussed in the report.

December 31, 2017. Hereafter, this data period will be referred to as December 2017. The December 2017 data shows the following caseloads for the total AFDC-FC, AAP, and Kin-GAP. See Figures 1, 2, 3, 4, and 5 (Pages 7, 8, and 9).

Figures 6, 7, 8, 9, 10, and 11 (Pages 10, 11, and 12) represent the dual agency caseload and rate payment information. The foster care rate payment for dual agency children receiving AFDC-FC and AAP included rate payments that were equal to foster family home basic rates or Alternative Residential Model (ARM) rates established by DDS.

Data collected from the AFDC-FC, AAP, and Kin-GAP 84 Form, the quarterly statistical report includes information on the number and nature of Supplement to the Rate Requests for Dual Agency AFDC-FC, AAP, and Kin-GAP children. The related requests for the supplement to the dual agency rate for foster and adopted children with a developmental disability indicates that the actions taken are similar in the dual agency AFDC-FC, AAP, and Kin-GAP caseloads. The majority of the requests for a supplement to a dual agency rate was authorized upon initial request with 96 percent of dual agency children receiving AFDC-FC, 91 percent of dual agency children receiving AAP, and 100 percent of children receiving Kin-GAP benefits. The \$1,000 level of supplement was requested more than any other level of supplement to the dual agency rate at 96 percent when combined, e.g. AFDC-FC, AAP, and Kin-GAP caseloads as represented in Figures 15, 16, and 17 (Pages 15 and 16).

METHODOLOGY

To ensure reporting accuracy, the California Department of Social Services (CDSS) and the Department of Developmental Services (DDS) shared, matched and analyzed the required data elements/information required by WIC section 11464(h). CDSS completes its file by matching records from the DDS database to Child Welfare Services/Case Management System (CWS/CMS). The CWS/CMS is the statewide computer system that links all 58 counties and the state to a common database for online client case management. The system assists caseworkers in recording client demographics, contacts, services delivered, and placement information. It also enables case workers to record and update assessments, create and maintain case plans, and manage the placement of children in appropriate foster homes or facilities.

Although CWS/CMS is a source of information for many data elements, it is not a payment system. Therefore, CDSS obtained payment information from county payment systems to report on payments made to dual agency children. The county payment systems are referred to as Consortium IV (C-IV), Los Angeles Eligibility, Automated Determination, Evaluation and Reporting (LEADER) consortium and California Work Opportunity and Responsibility to Kids Information Network (CalWIN) consortium. CDSS then sends a file to DDS to compare the matched list for dual agency children to DDS' Purchase of Service (POS) expenditure data.

CDSS gathered additional information from different and distinct data sources to determine the impact of the dual agency rate structure in the AFDC-FC, AAP and Kin-GAP Programs. These sources serve different purposes in program administration: caseload information, payment information related to the caseload, and actions taken to determine the appropriate rate for the caseload. The Cash Grant Caseload Movement Report (CA 237) and the Assistance Claim Expenditures (CA 800) monthly reports submitted by counties to CDSS identify the various funding sources and the number of California children receiving AFDC-FC, Kin-GAP, and AAP benefits. These reports allow for late reporting and may result in adjustments to caseloads for the reporting period.

The FC, AAP, and Kin-GAP 84 Form: “Supplement to the Rate Requests for Dual Agency AFDC-FC and AAP Children Quarterly Statistical Report” captures supplement to the dual agency rate data elements. The county welfare departments and CDSS adoption district offices record county specific information regarding the number and levels of supplements to the dual agency rate requested, the number of supplements to the dual agency rate authorized upon request, and the number of supplements to the dual agency rate denied upon request, but subsequently authorized after appeal. (The report represents statewide aggregate activity counts per quarter and does not represent total caseload for this population).

To calculate the percentage change from year to year in caseload numbers, the following formula was used: $(\text{current} - \text{previous}) / \text{previous} = \text{percent}$. The percentages have been rounded up and may not always equal 100 percent.

DDS data was drawn from the Uniform Fiscal System and the Client Diagnostic and Evaluation Report. The regional center services-only population in this report consists of children residing in the home of a parent or guardian and or Kin-GAP benefits.

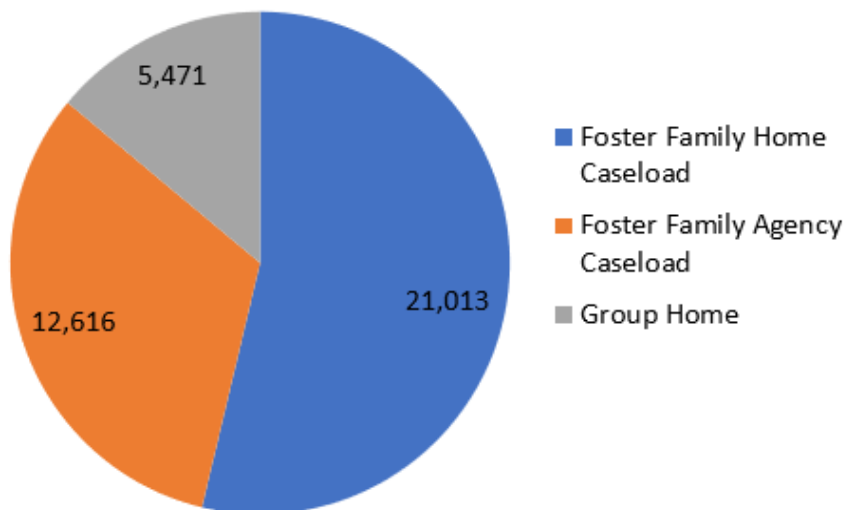
Limitations

The following limitations were identified in the collection and review of dual agency data:

- The report represents statewide aggregate activity counts per quarter and does not represent total caseload for this population.
- The data represents a point in time and eligibility determinations may have been in progress during the time of the data run.
- There is a lag between the date of a rate supplement request and the date of a decision to approve or deny that request. (*This is evident in Figures 14, 17, 20, and 21*).
- Information is not available to determine whether the level of the rate supplement request or the non-eligibility of a child was the reason for a denial.

AFDC-FC/AAP/KIN-GAP CASELOADS

Figure 1: Foster Care Caseloads



Data Sources: CA 237, CWS/CMS and DDS matched file, July 2017 – December 2017.

Figure 1 indicates between July 2017 and December 2017, the total foster care caseload was 39,100. The AFDC-FC family home caseload was 21,013, representing about 54 percent of the total AFDC-FC caseload, followed by the Foster Family Home (12,616) at 32 percent, and Group Home (5,471) at 14 percent.

Figure 2: Dual Agency Foster Care Caseloads By Year and Age

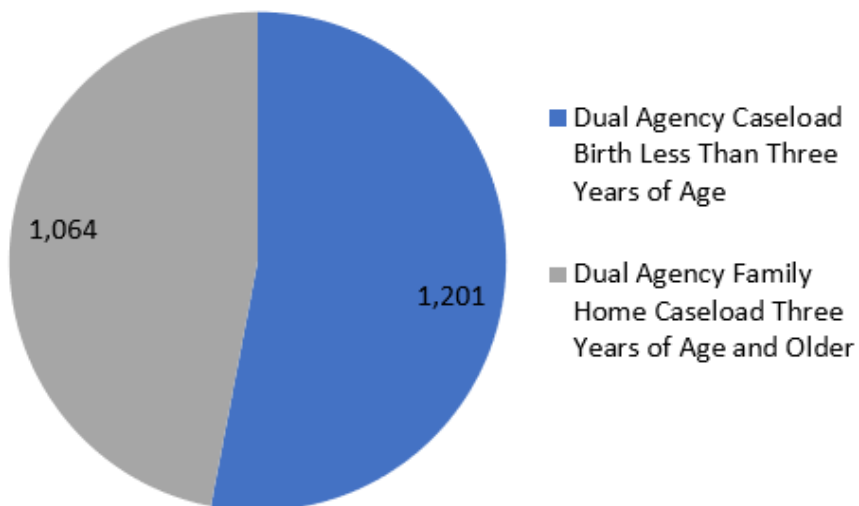


Figure 2 indicates between July 2017 and December 2017, the combined Dual Agency Foster Care caseload was 2,265. Children less than three years of age represented 1,201 cases, at 53 percent. Children three years of age and older represented 1,064 cases, at 47 percent of the total dual agency foster care caseloads.

Figure 3: AAP and Dual Agency Family Home Caselaods

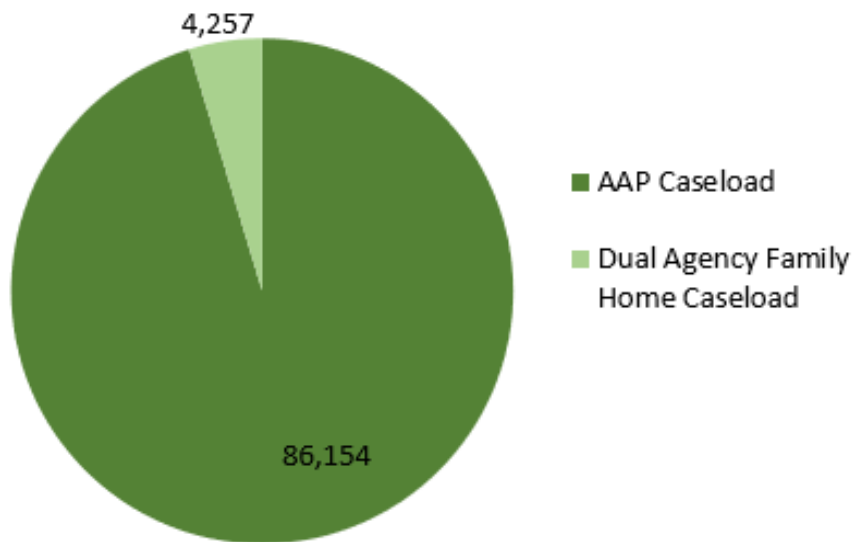


Figure 3 indicates between January 2017 and June 2017, the AAP caseload was 86,154 and the Dual Agency AAP caseload was 4,257 for children three years of age and older.

Figure 4: AAP and Dual Agency AAP Caseloads By Year and Age

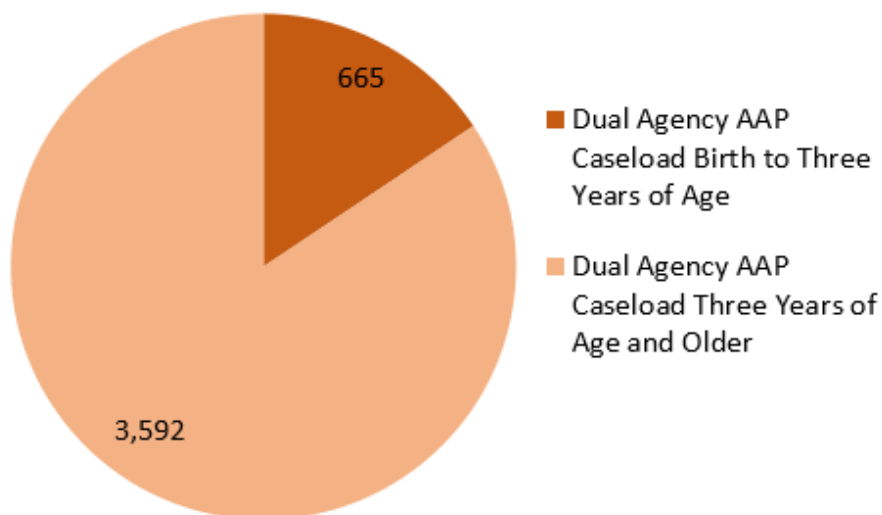


Figure 4 indicates between July 2017 and December 2017, dual agency AAP caseload was 4,257. The dual agency AAP caseload, three years of age and older, represented 3,592 cases at 84 percent. The caseload under three years of age represented 665 cases, at about 16 percent of the same population.

Figure 5: Dual Agency Kin-GAP Caseload

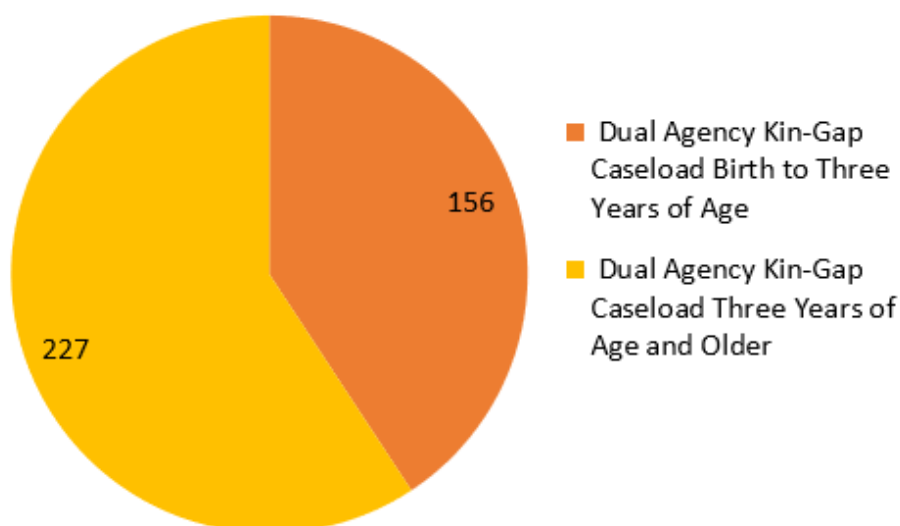


Figure 5 indicates between July 2017 and December 2017, the dual agency Kin-GAP caseload was 383. Of the 383 dual agency Kin-GAP caseloads, the three years of age and older (227) represented 59 percent of the caseload. The birth to less than three years of age (156) represented 41 percent.

AFDC-FC/AAP/KIN-GAP PAYMENT RATES

In this section of the report, the annual California Necessities Index (CNI) increases were included in the payment rates. Payment rate data in Figures 6 through 11 were derived from the C-IV, LEADER, and CalWIN payment systems. The caseload and payment rate data in Figures 6 through 11 represent the different amounts paid on behalf of the dual agency AFDC-FC, AAP, and Kin-GAP caseloads. Some of the amounts paid were equal to foster family home basic rates, ARM rates established by DDS, and dual agency rates. Missing payment information means that a few of the cases that CDSS matched with DDS did not have a record of payment being made in the reported months (July 2017 and December 2017).

Additionally, the December 2017 data in Figures 6 through 11 represent the dual agency rate established in statute with the CNI increases that were effective July 2017 through December 2017. Foster care dual agency caseloads in Figures 6 and 7 were based on family home placements in small family homes, foster family homes, approved relative, and non-relative extended family member homes, tribe-specified homes, and homes of non-related legal guardians.

**Figure 6: Foster Care Dual Agency Caseload and Payment Rates
Birth to Less Than Three Years of Age**

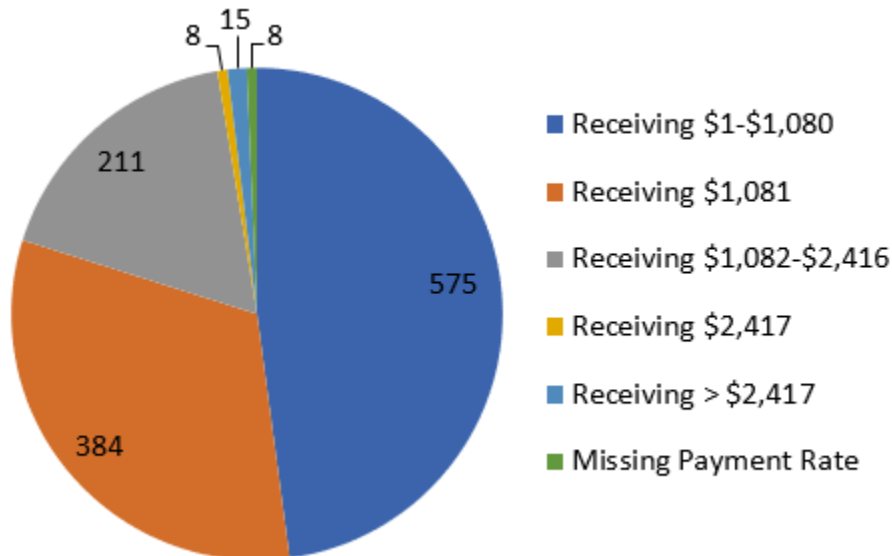


Figure 6 indicates between July 2017 and December 2017, there were 1,201 dual agency children, birth to less than three years of age, in a family home placement concurrently receiving services from a California Regional Center, including services under the CEISA, with payment distributions.

**Figure 7: Foster Care Dual Agency Caseload and Payment Rates
Three Years of Age and Older**

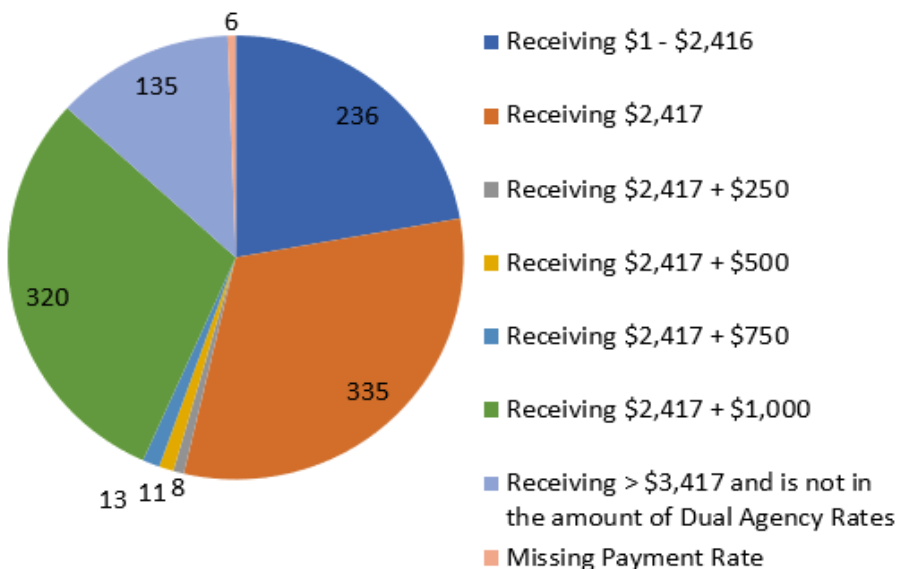


Figure 7 indicates between July 2017 and December 2017, there were 1,064 dual agency children, three years of age and older, in a family home placement concurrently receiving services from a California regional center, including services under the CEISA, with payment rate distributions.

Figure 8: AAP Dual Agency Caseload and Payment Rates Birth to Less Than Three Years of Age

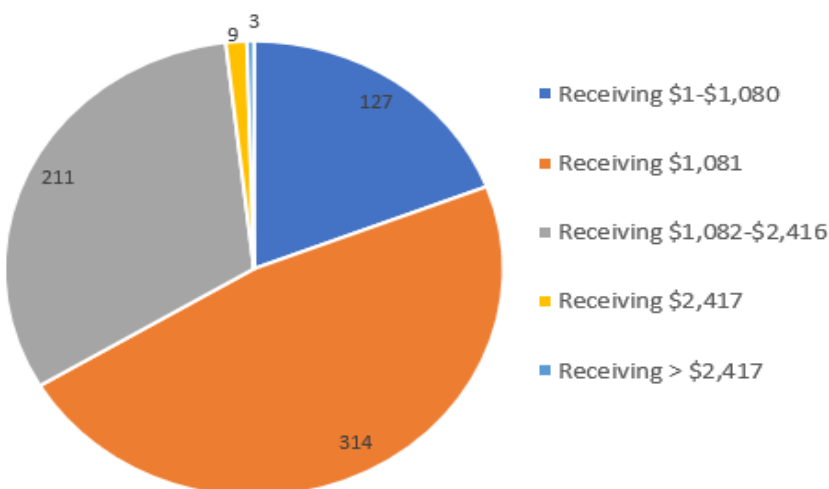


Figure 8 indicates between July 2017 and December 2017, there were 665 dual agency children, birth to less than three years of age, in a family home placement concurrently receiving services from a California regional center, including services under the CEISA, with payment rate distributions. There was one missing payment amount not displayed in the figure.

Figure 9: AAP Dual Agency Caseload and Payment Rates Three Years of Age and Older

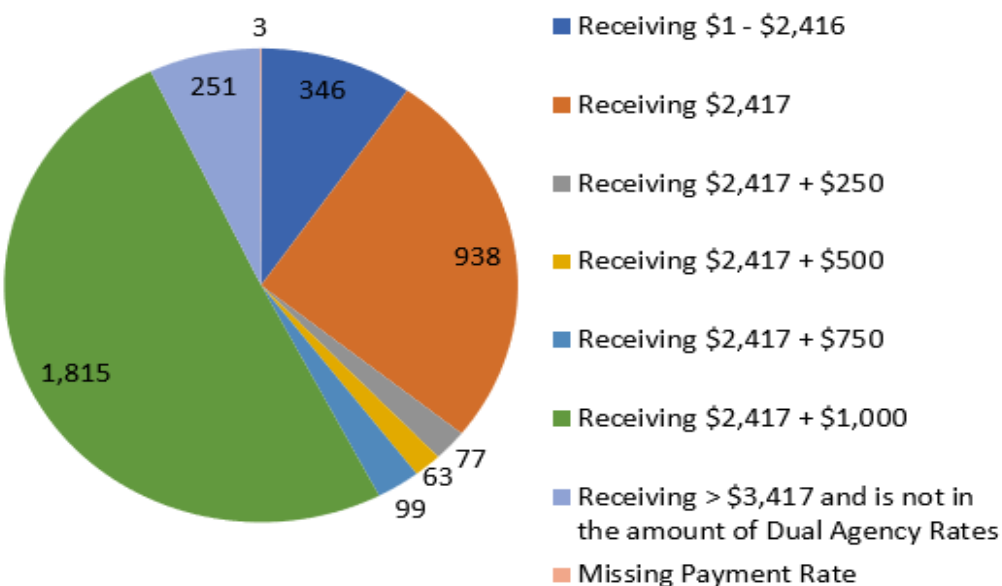


Figure 9 indicates between July 2017 and December 2017, there were 3,592 dual agency children, three years of age and older, in a family home placement concurrently receiving services from a California regional center, including services under the CEISA, with payment rate distributions.

**Figure 10: Kin-GAP Dual Agency Caseload and Payment Rates
Birth to Less Than Three Years of Age**

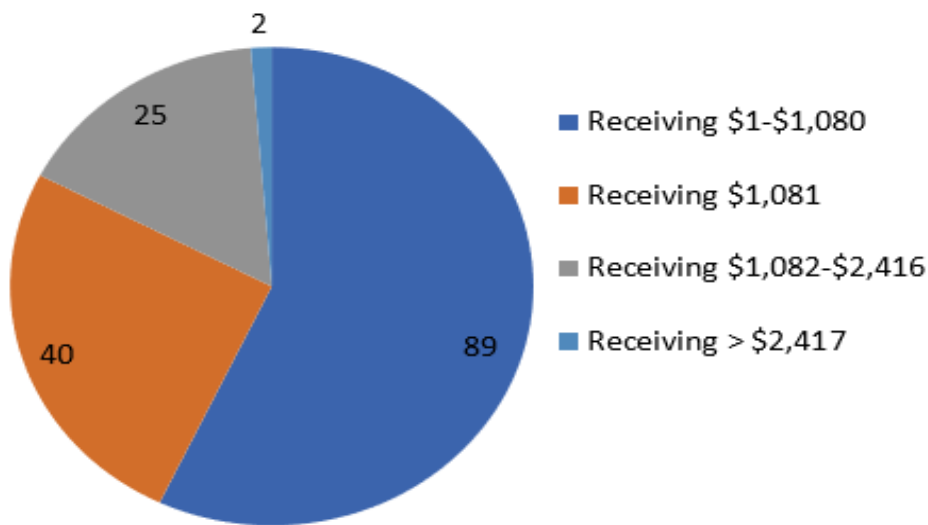


Figure 10 indicates between July 2017 and December 2017, there were 156 Kin-GAP Dual Agency children, birth to less than three years of age, in a family home placement concurrently receiving services from a California regional center, including services under the CEISA, with payment rate distributions.

**Figure 11: Kin-GAP Dual Agency Caseload and Payment Rates
Three Years of Age and Older**

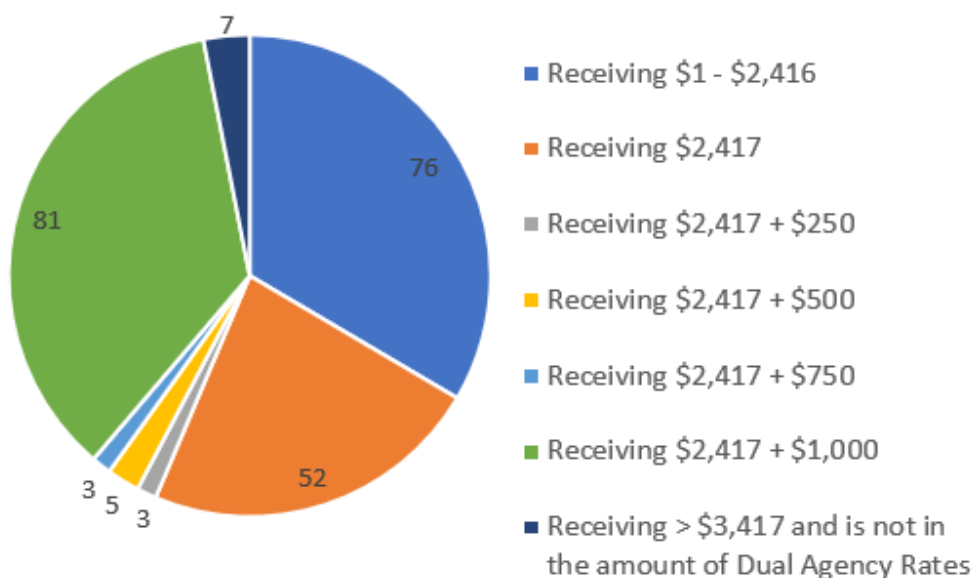


Figure 11 indicates between July 2017 and December 2017, there were 227 Kin-GAP Dual Agency children, three years of age and older, in a family home placement concurrently receiving services from a California regional center, including services under the CEISA, with payment rate distributions. No missing payment rate data was recorded.

SUPPLEMENT TO THE DUAL AGENCY RATES

In 2007 CDSS and DDS, in consultation with stakeholders, were required to develop objective criteria to be used for determining eligibility for and the appropriate level of a supplement to the dual agency rate for child, three years of age and older, based on the extraordinary care and supervision needs of the child. CDSS developed three forms:

SOC 835: “Supplement to the Dual Agency Rate-Multiple Questionnaire Worksheet”,

SOC 836: “Supplement to the Rate Eligibility Form”, and

SOC 837: “Supplement to the Rate-Questionnaire” based on the objective criteria counties use to determine the level of supplement to the dual agency rate.

WIC section 11464(h)(2)(E)(F) and (G) require CDSS to report the following:

- The number and levels of the supplement to the rate pursuant to WIC section 11464(c)(2) that were requested can be found in Figures 12, 13 and 14 (Pages 16 and 17);
- The number of supplements to the rate that were authorized upon caregiver’s request can be found in Figures 15, 16 and 17 (Pages 18 and 19);
- The number of requests for supplements to the dual agency rate, number of appeals, and the number of appeals granted can be found in Figures 18, 19, and 20 (Pages 19 and 20); and
- The number of AFDC-FC, AAP, and Kin-GAP appeals that are authorized can be found in Figure 21 (Page 21).

The AFDC-FC/AAP/Kin-GAP 84: “Supplement to the Rate Requests for Dual Agency AFDC-FC, AAP, and Kin-GAP Children Quarterly Statistical Report” were developed by CDSS to capture the data elements identified in the WIC 11464 (h)(2)(E)(F) and (G). The FC/AAP 84 report represents statewide aggregate activity counts per quarter and does not represent total caseload for this population.

The AFDC-FC/AAP/Kin-GAP 84 Form did not capture Approve Relative Caregiver (ARC) Funding Program data for this reporting period. Supplement to the dual agency rate information for this population is projected to be available in 2018, allowing for the collection of four data periods. Kin-GAP data collection is described in All County Letter 14-22 dated March 13, 2014.

SUPPLEMENT TO DUAL AGENCY RATES

Figure 12: Cumulative Number of New Requests for a Supplement to the Dual Agency Rate in AFDC-FC

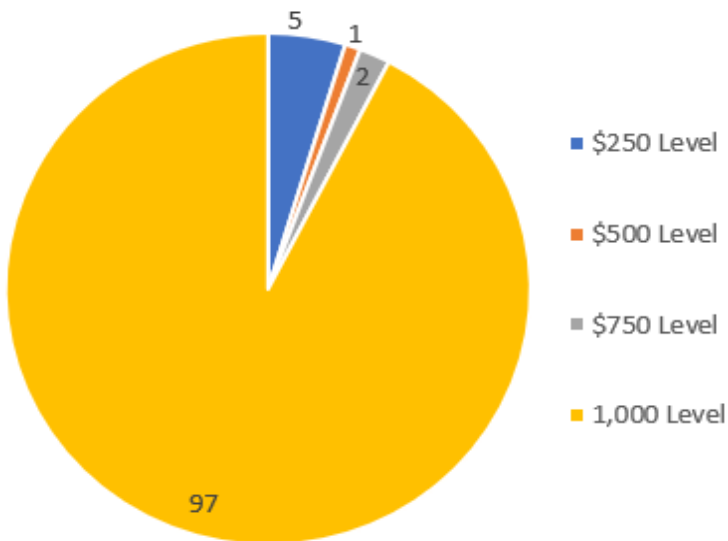


Figure 12 indicates between July 2017 and December 2017, there were 105 new requests for a supplement to the dual agency rate in the AFDC-FC. Ninety-two percent were requested at the \$1,000 level category.

Figure 13: Cumulative Number of New Requests for a Supplement to the Dual Agency Rate in APP

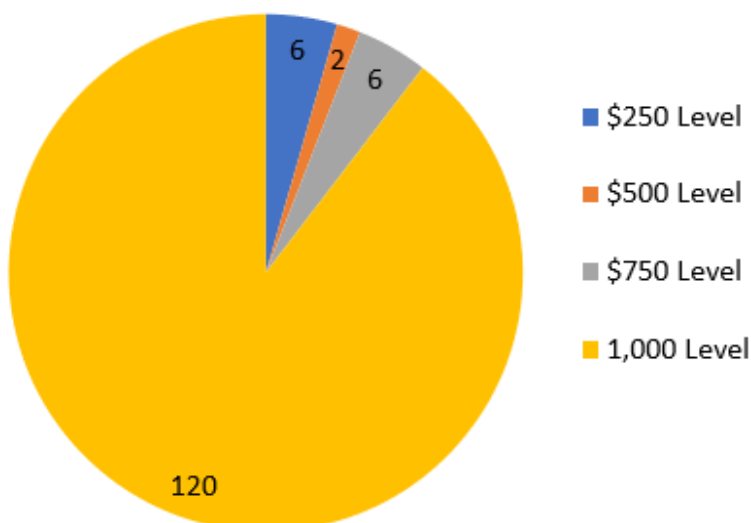


Figure 13 indicates between July 2017 and December 2017, there were 134 new requests for a supplement to the dual agency rate in the AAP. Ninety percent were requested at the \$1,000 level category.

Figure 14: Cumulative Number of New Requests for a Supplement to the Dual Agency Rate in Kin-GAP

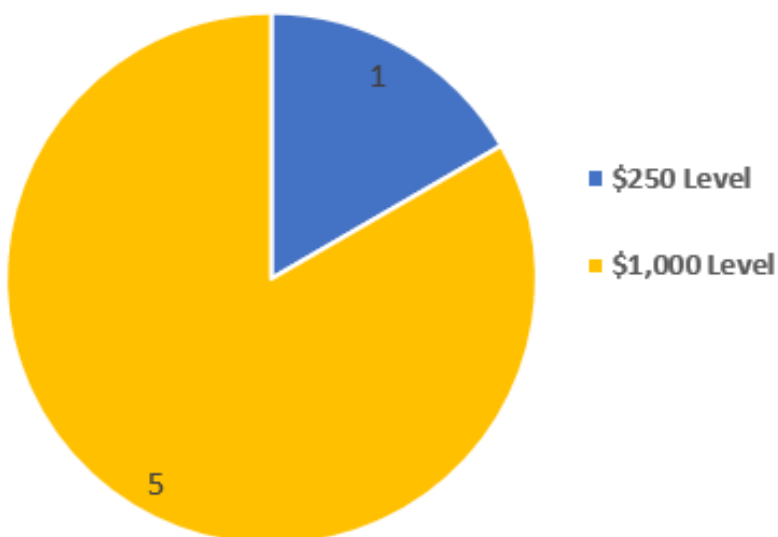


Figure 14 indicates between July 2017 and December 2017, there were six new requests for a supplement to the dual agency rate in Kin-GAP. No other data was recorded.

Figure 15: Cumulative Number of Requests Made and Authorized for a Supplement to the Rate in AFDC-FC

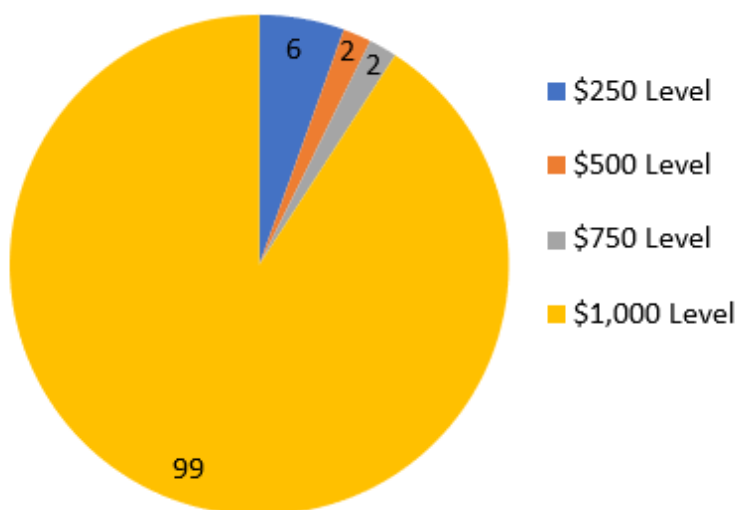


Figure 15 indicates between July 2017 and December 2017, there were 109 new requests made and authorized for a supplement to the rate in the AFDC-FC. Ninety-one percent of the requests were made and authorized at the \$1,000 level category.

Figure 16: Cumulative Number of Requests Made and Authorized for a Supplement to the Rate in AAP

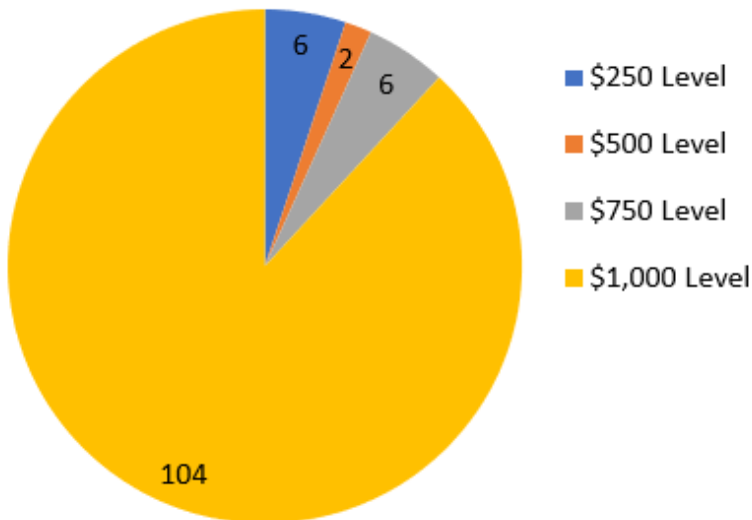


Figure 16 indicates between July 2017 and December 2017, there were 118 new requests made and authorized for a supplement to the rate in the AAP. Eighty-eight percent of the requests were made and authorized at the \$1,000 level category.

Figure 17: Cumulative Number of Requests Made and Authorized for a Supplement to the Rate in Kin-GAP

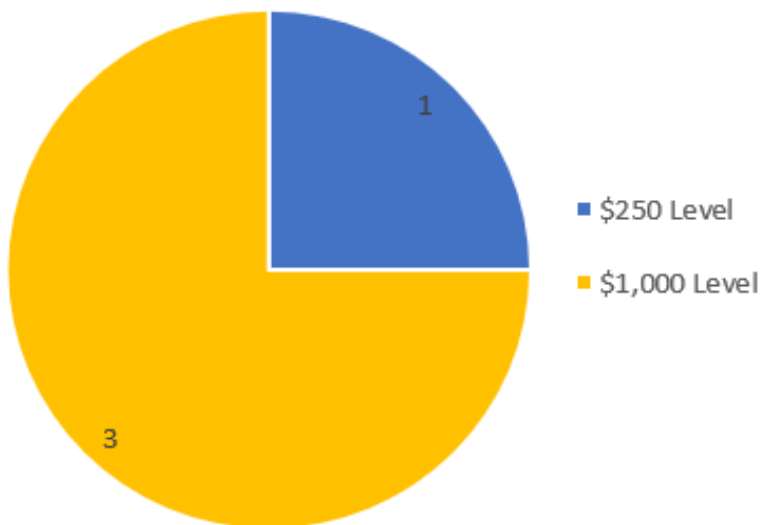


Figure 17 indicates between July 2017 and December 2017, there were four new requests made and authorized for a supplement to the rate in Kin-GAP.

Figure 18: Cumulative Number of Requests for Supplement to the Dual Agency Rate, Number of Appeals, and the Number of Appeals Authorized in AFDC-FC

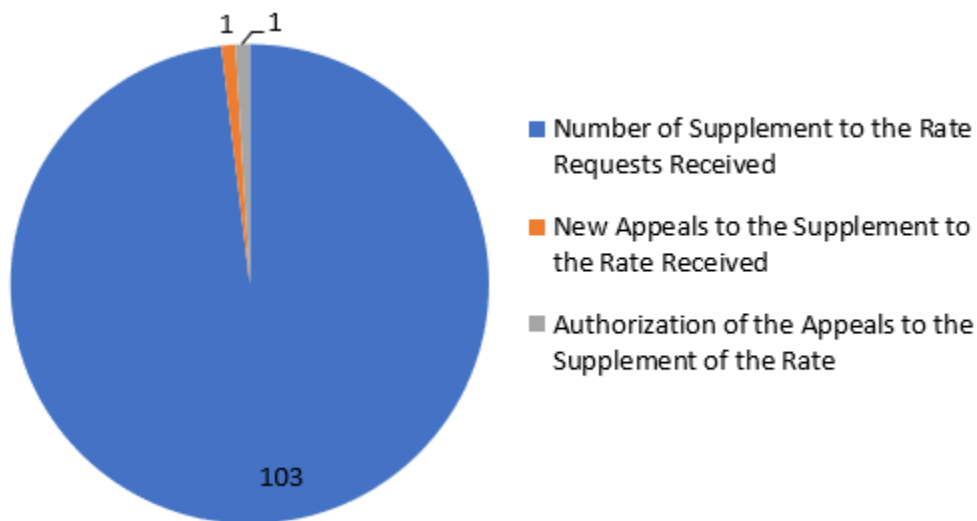


Figure 18 indicates between July 2017 and December 2017, the number of supplements to the dual agency rate requests received was 103 in the AFDC-FC. Also, there was one new appeal to the supplement to the rate received, and one authorization of the appeals to the supplement of the rate. As previously stated in the methodology, these reports allow for late reporting and may result in adjustments to caseloads for the reporting period.

Figure 19: Cumulative Number of Requests for Supplement to the Dual Agency Rate, Number of Appeals, and the Number of Appeals Authorized in AAP

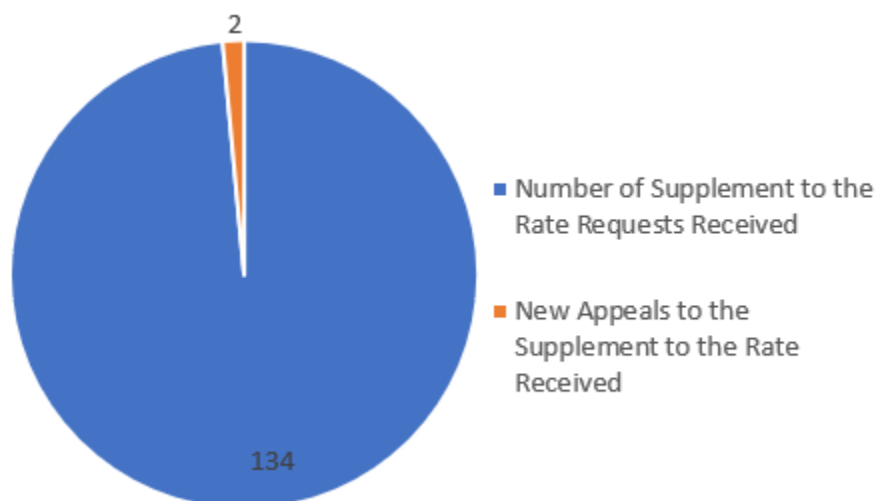


Figure 19 indicates between July 2017 and December 2017, there were 134 cumulative supplements to the dual agency rate requests received in the AAP. There were also two new appeals to the supplement rate received.

Figure 20: Cumulative Number of Requests for Supplement to Dual Agency Rate, Number of Appeals, and the Number of Appeals Authorized in Kin-GAP

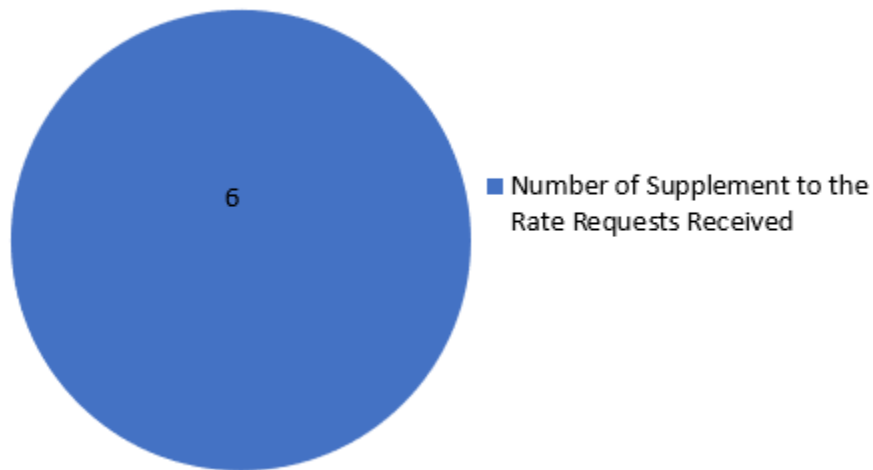
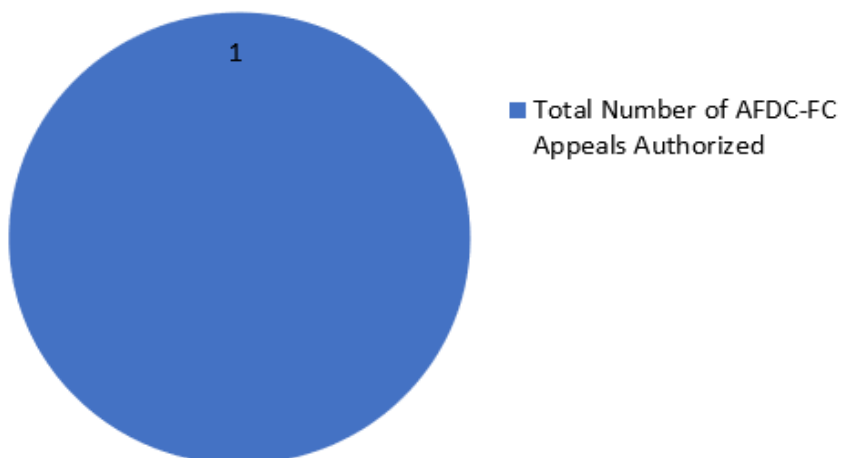


Figure 20 indicates between July 2017 and December 2017, there were six cumulative supplements to the dual agency rate requests received in the Kin-GAP. No other data was recorded.

Figure 21: Cumulative Number of AFDC-FC, AAP, and Kin-GAP Appeals, that are Authorized



In Figure 21, data was too small to make any conclusive narrative about the data results. There was also one appeal received in the AFDC-FC. No other data was recorded.

DUAL AGENCY SERVICES

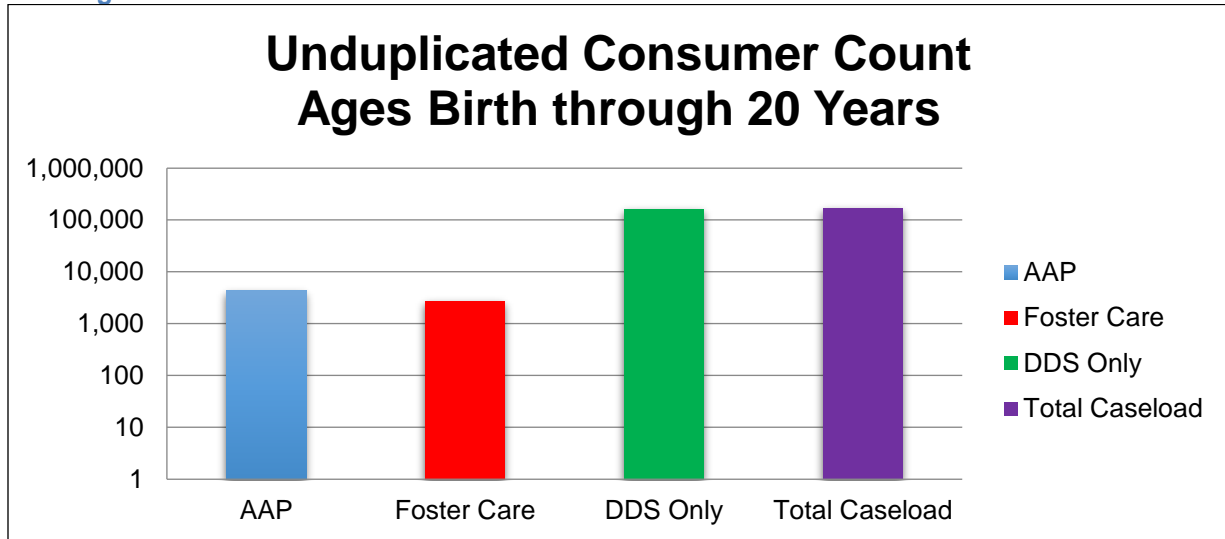
Data guidance: Consideration of data in the DDS tables should include the following:

- Total and average per consumer costs reflected in this report cover a six-month reporting period (July – December 2017).
- Purchase of Service (POS) percentages and consumer counts in this report showed little variance when compared with data from the previous report.
- Where the target population is small, extraordinary POS by one or relatively few consumers might skew the average purchase of service total, which may result in a larger cost per consumer.
- Service needs differ by consumer and families. Differences in the individual service total expenditures and average consumer expenditures may skew the cost per consumer.
- The majority of consumers have not been diagnosed before age three. Therefore, no diagnostic data are included for consumers under three years of age.
- Caseload information provided within this report represents only those individuals receiving services beyond case management.

It should also be noted that some AAP or AFDC-FC recipients also received residential services, adult day program services, and supported living services purchased by the regional centers. This is due to two key factors:

- Consumers may receive AAP or AFDC-FC through age 21 if certain eligibility criteria are met. The consumer may benefit from residential and/or adult day program services as part of their transition into adulthood.
- Both foster and adoptive families are permitted to temporarily place consumers into residential care, with the expectation of an eventual return to the family home

DDS Figure 1



DDS Figure 2

DATA	AAP	Foster Care	DDS Only	Total Caseload
Unduplicated Total Ages Birth through 2 Years	649	1,520	37,881	40,050
Unduplicated Total Ages 3 through 20 Years	3,668	1,243	123,897	128,808
Unduplicated Total Ages Birth through 20 Years	4,317	2,763	161,778	168,858

DDS Figure 3

Unduplicated Total Ages Birth through 20 Years

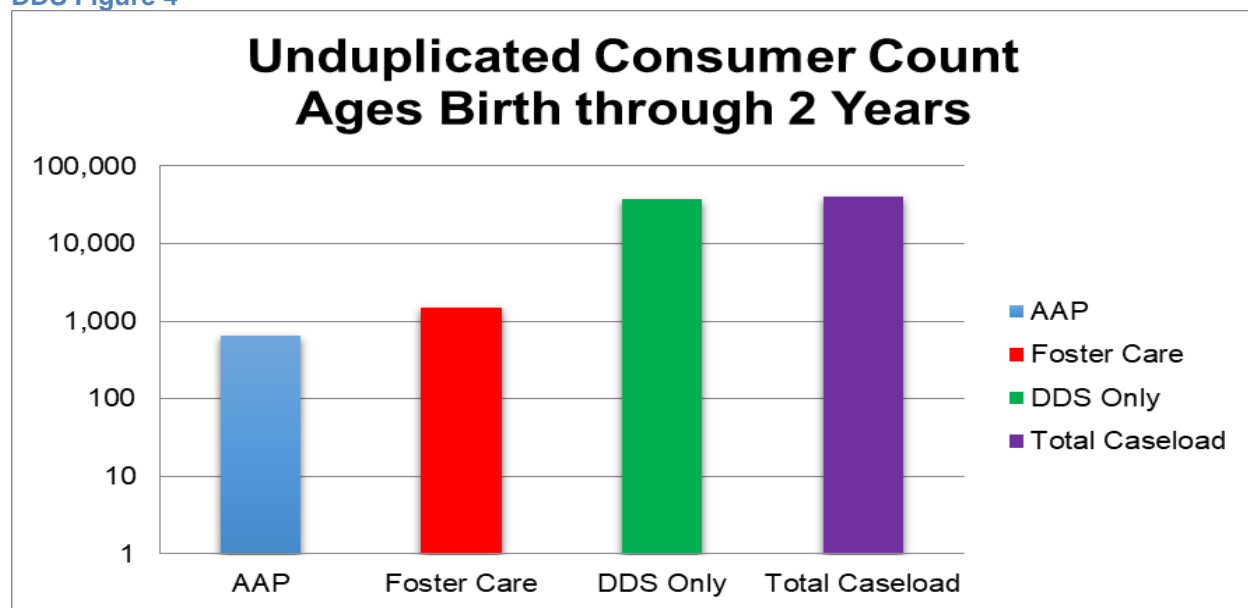
Purchase of Service (POS)	1-AAP		2-Foster Care		3-DDS Only		Grand Total
POS	Consumer Count	POS Percentage	Consumer Count	POS Percentage	Consumer Count	POS Percentage	
No	1,689	39%	709	26%	57,610	36%	60,008
Yes	2,628	61%	2,054	74%	104,168	64%	108,850
Unduplicated Total	4,317	100%	2,763	100%	161,778	100%	168,858
Percentage of Caseload	2.6%		1.6%		95.8%		100.0%

DDS Figures 1 and 2 show consumers, ages birth through 20 years, receiving services beyond case management. Consumers are categorized by AAP, Foster Care (FC), and

DDS Only. The total AAP, FC, and DDS Only population is 168,858. Of this number, 108,850 receive POS.

DDS Figure 3 shows the percent of consumers receiving services purchased through DDS. As the data indicates, the DDS Only caseload is far greater than the dual agency caseloads. Data on the following pages includes not only totals for each caseload, but also expenditures per person for each caseload.

DDS Figure 4



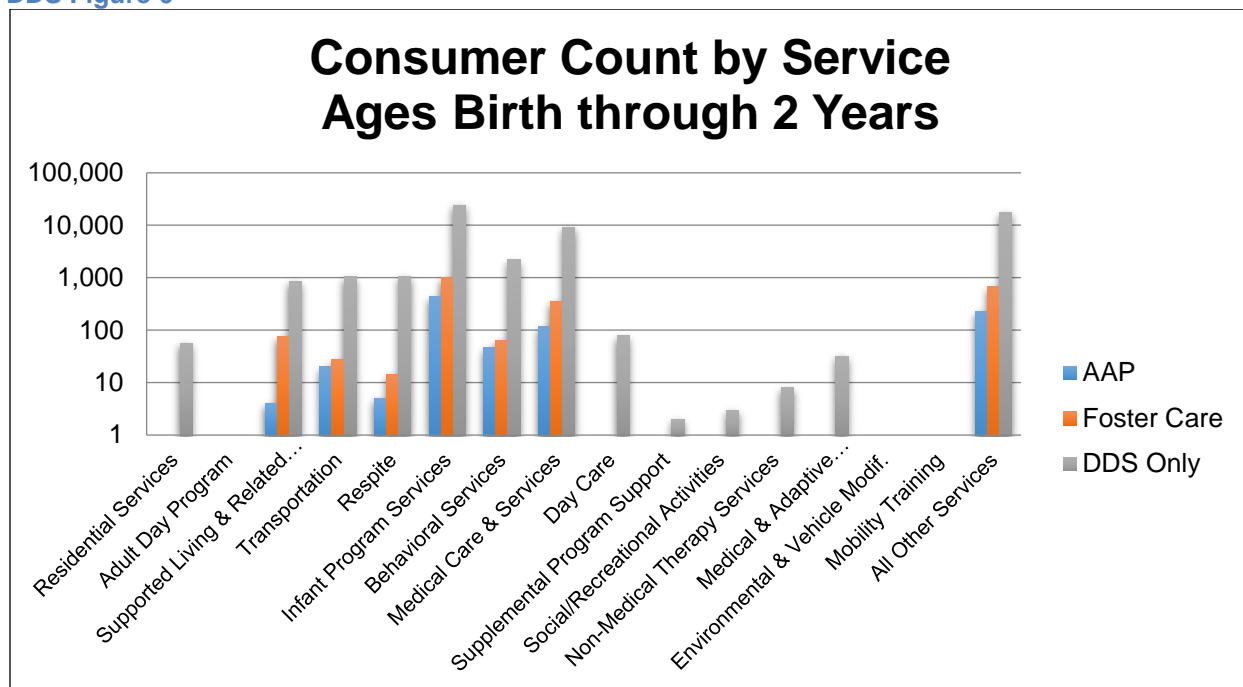
DDS Figure 5
Birth through 2 Years

Purchase of Service (POS)	1-AAP		2-Foster Care		3-DDS Only		Grand Total
POS	Consumer Count	POS Percentage	Consumer Count	POS Percentage	Consumer Count	POS Percentage	
No	50	8%	85	6%	2,772	7%	2,907
Yes	599	92%	1,435	94%	35,109	93%	37,143
Unduplicated Total	649		1,520		37,881		40,050
Percentage of Caseload	1.6%		3.8%		94.6%		

DDS Figure 4 shows the unduplicated count of consumers receiving services beyond case management, ages birth through 2 years, by caseload (AAP, FC, and DDS Only). The total AAP, FC, and DDS Only population for consumers, ages birth through 2 years, is 40,050. Of these consumers, 37,143 receive POS.

DDS Figure 5 shows the percent of consumers receiving services purchased through DDS.

DDS Figure 6

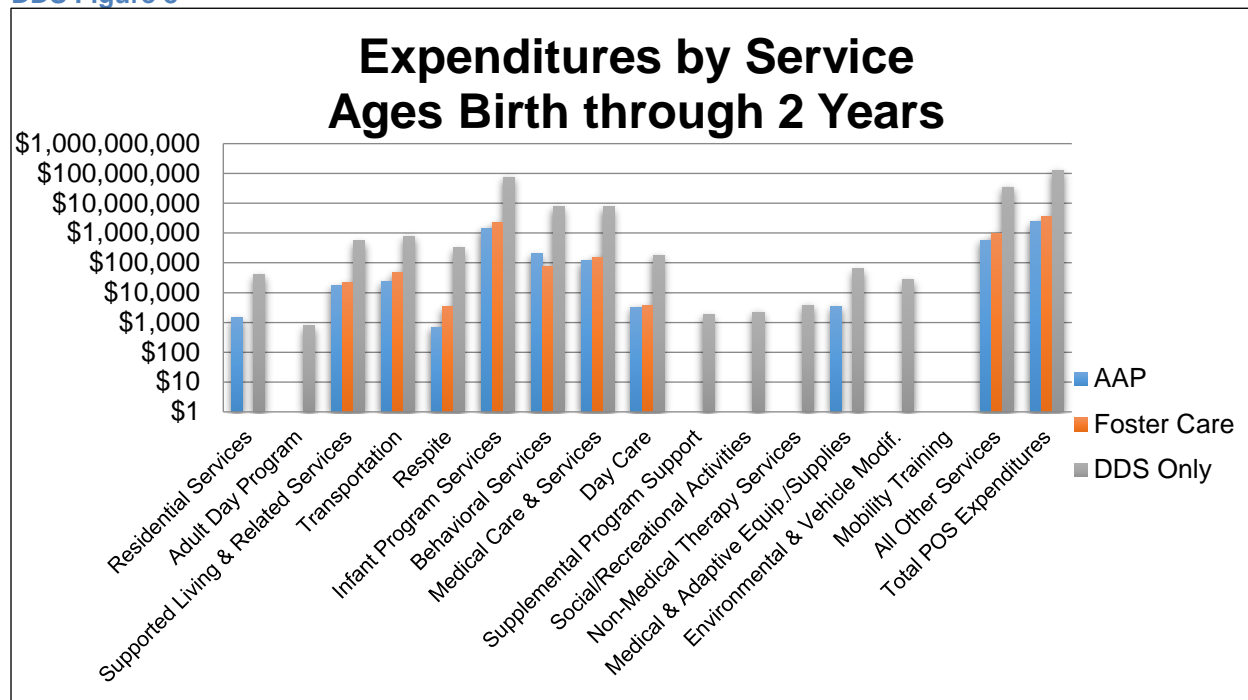


DDS Figure 7

DATA	AAP	Foster Care	DDS Only	Total
Residential Services	1	0	56	57
Adult Day Program	0	0	1	1
Supported Living & Related Services	4	75	850	929
Transportation	20	27	1,038	1,085
Respite	5	14	1,064	1,083
Infant Program Services	430	995	23,872	25,297
Behavioral Services	46	64	2,209	2,319
Medical Care & Services	115	349	9,116	9,580
Day Care	1	1	78	80
Supplemental Program Support	0	0	2	2
Social/Recreational Activities	0	0	3	3
Non-Medical Therapy Services	0	0	8	8
Medical & Adaptive Equip./Supplies	1	0	32	33
Environmental & Vehicle Modifications	0	0	1	1
Mobility Training	0	0	0	0
All Other Services	230	669	17,679	18,578
Unduplicated Total Receiving POS	599	1,435	35,109	37,143

DDS Figures 6 and 7 display the consumer count for services received by consumers, ages birth through 2 years, by caseload (AAP, FC, and DDS Only).

DDS Figure 8

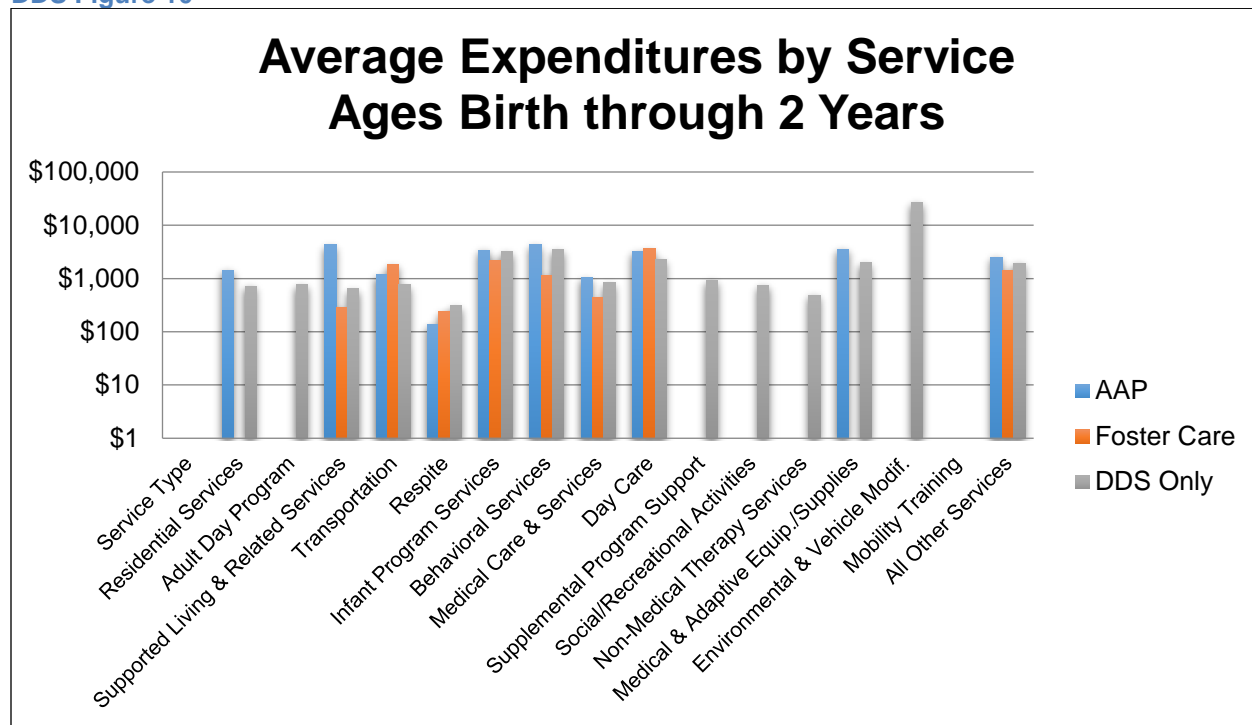


DDS Figure 9

Service Type	AAP	Foster Care	DDS Only	Total
Residential Services	\$1,434	\$0	\$40,372	\$41,806
Adult Day Program	\$0	\$0	\$771	\$771
Supported Living & Related Services	\$17,358	\$21,558	\$546,173	\$585,089
Transportation	\$23,405	\$48,502	\$787,961	\$859,868
Respite	\$697	\$3,341	\$324,777	\$328,815
Infant Program Services	\$1,462,585	\$2,205,367	\$75,412,831	\$79,080,783
Behavioral Services	\$200,947	\$74,342	\$7,623,572	\$7,898,861
Medical Care & Services	\$118,575	\$152,182	\$7,515,323	\$7,786,080
Day Care	\$3,275	\$3,630	\$178,733	\$185,638
Supplemental Program Support	\$0	\$0	\$1,835	\$1,835
Social/Recreational Activities	\$0	\$0	\$2,243	\$2,243
Non-Medical Therapy Services	\$0	\$0	\$3,845	\$3,845
Medical & Adaptive Equip./Supplies	\$3,535	\$0	\$63,462	\$66,997
Environmental & Vehicle Modifications	\$0	\$0	\$27,174	\$27,174
Mobility Training	\$0	\$0	\$0	\$0
All Other Services	\$577,324	\$961,280	\$34,418,423	\$35,957,027
Total POS Expenditures	\$2,409,134	\$3,470,202	\$126,947,497	\$132,826,833

DDS Figures 8 and 9 show the total expenditures for services received by consumers, ages birth through 2 years, by caseload (AAP, FC, and DDS Only).

DDS Figure 10



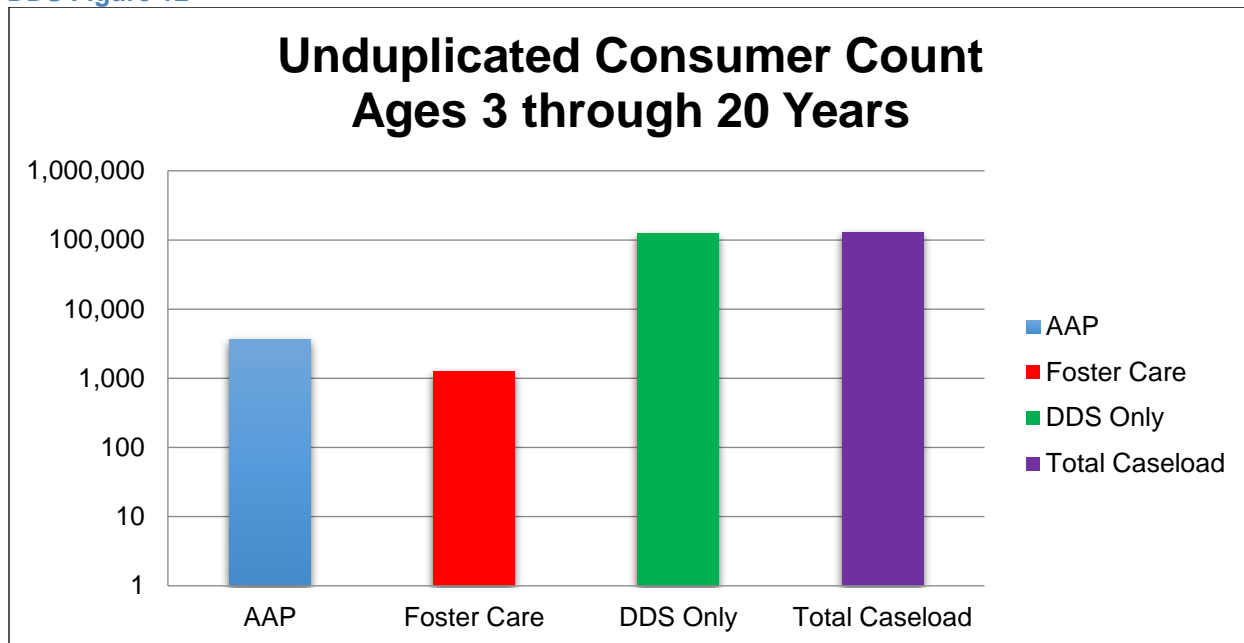
DDS Figure 11

Service Type	AAP	Foster Care	DDS Only	Totals
Residential Services	\$1,434	\$0	\$721	
Adult Day Program	\$0	\$0	\$771	
Supported Living & Related Services	\$4,339	\$287	\$643	
Transportation	\$1,170	\$1,796	\$759	
Respite	\$139	\$239	\$305	
Infant Program Services	\$3,401	\$2,216	\$3,159	
Behavioral Services	\$4,368	\$1,162	\$3,451	
Medical Care & Services	\$1,031	\$436	\$824	
Day Care	\$3,275	\$3,630	\$2,291	
Supplemental Program Support	\$0	\$0	\$917	
Social/Recreational Activities	\$0	\$0	\$748	
Non-Medical Therapy Services	\$0	\$0	\$481	
Medical & Adaptive Equip./Supplies	\$3,535	\$0	\$1,983	
Environmental & Vehicle Modifications	\$0	\$0	\$27,174	
Mobility Training	\$0	\$0	\$0	
All Other Services	\$2,510	\$1,437	\$1,947	
Total POS Expenditures	\$2,409,134	\$3,470,202	\$126,947,497	\$132,826,833
Unduplicated Total Receiving POS	599	1,435	35,109	37,143
Average Per Consumer Receiving POS	\$4,022	\$2,418	\$3,616	\$3,576.09

DDS Figures 10 and 11 show the average expenditures by service for consumers, ages birth through 2 years, by caseload (AAP, FC, and DDS Only).

It should be noted that high per child averages for some service/caseload combinations reflect service costs for a small number of consumers.

DDS Figure 12



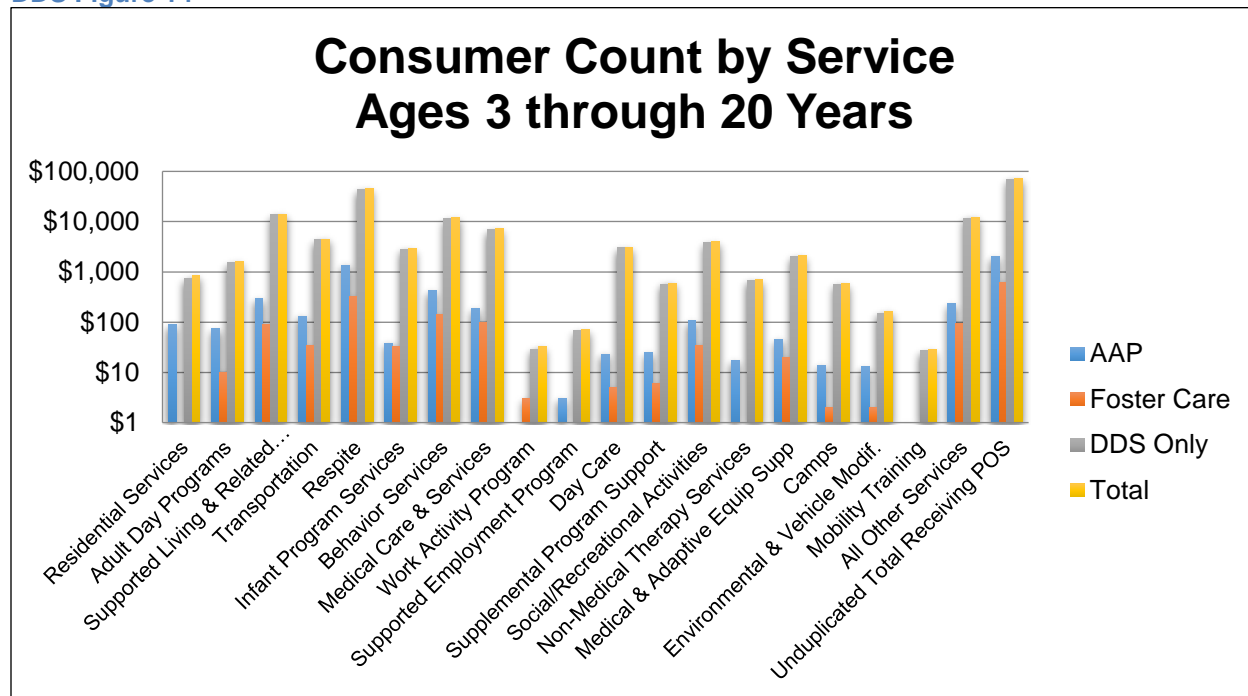
DDS Figure 12 shows the unduplicated count of consumers receiving services beyond case management, ages 3 through 20 years, by caseload (AAP, FC, and DDS Only). The total AAP, FC, and DDS Only population for consumers, ages 3 through 20 years, is 128,808. Of these consumers, 71,707 receive POS.

DDS Figure 13
Birth through 20 Years

Purchase of Service (POS)	1-AAP		2-Foster Care		3-DDS Only		Grand Total
POS	Consumer Count	POS Percentage	Consumer Count	POS Percentage	Consumer Count	POS Percentage	
No	1,639	45%	624	50%	54,838	44%	57,101
Yes	2,029	55%	619	50%	69,059	56%	71,707
Unduplicated Total	3,668	100%	1,243	100%	123,897	100%	128,808
Percentage of Caseload	2.85%		0.97%		96.19%		100.00%

DDS Figure 13 shows the percent of consumers receiving services purchased through DDS.

DDS Figure 14

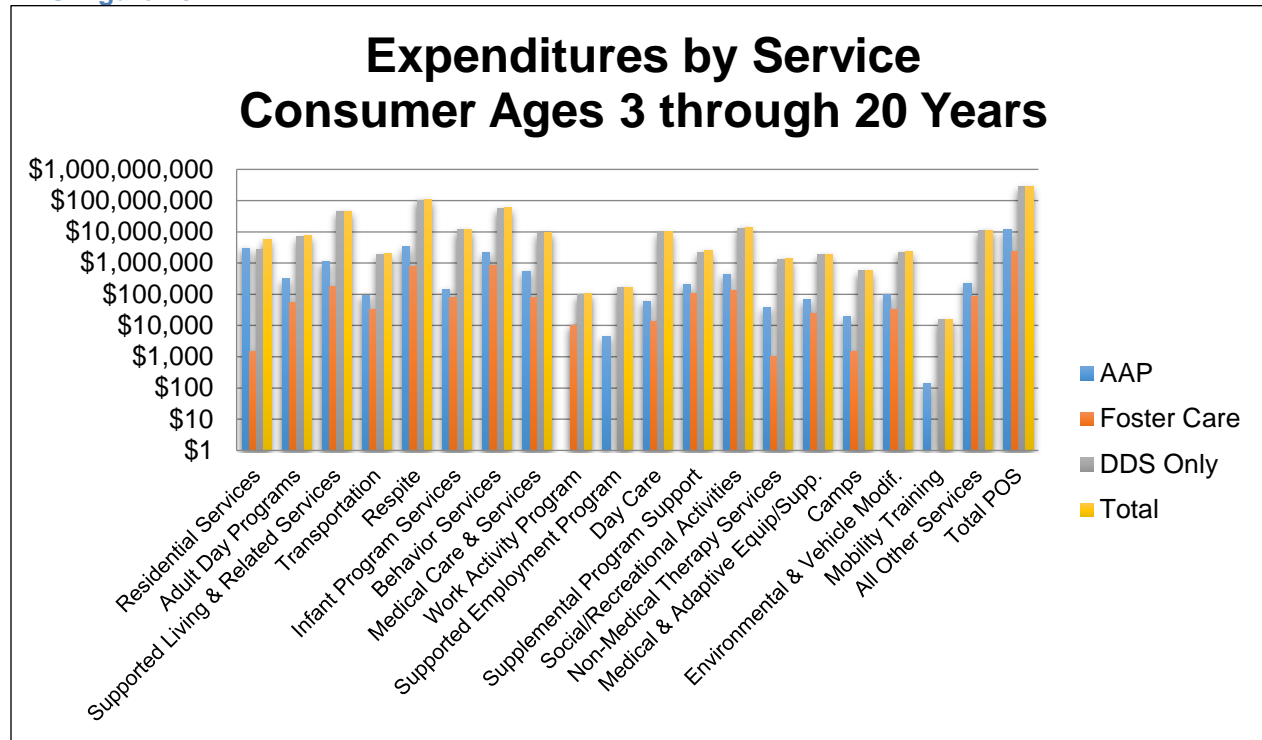


DDS Figure 15

Service Type	AAP	Foster Care	DDS Only	Total
Residential Services	88	1	740	829
Adult Day Programs	73	10	1,521	1,604
Supported Living & Related Services	293	90	13,593	13,976
Transportation	131	34	4,329	4,494
Respite	1,347	326	42,970	44,643
Infant Program Services	38	33	2,787	2,858
Behavior Services	422	145	11,400	11,967
Medical Care & Services	183	100	6,895	7,178
Work Activity Program	0	3	29	32
Supported Employment Program	3	0	67	70
Day Care	23	5	3,041	3,069
Supplemental Program Support	25	6	568	599
Social/Recreational Activities	106	35	3,807	3,948
Non-Medical Therapy Services	17	1	681	699
Medical & Adaptive Equip Support	45	20	2,020	2,085
Camps	14	2	564	580
Environmental & Vehicle Modifications	13	2	149	164
Mobility Training	1	0	27	28
All Other Services	233	94	11,755	12,082
Unduplicated Total Receiving POS	2,029	619	69,059	71,707

DDS Figures 14 and 15 show consumer count for services received by consumers, ages 3 through 20 years, by caseload (AAP, FC, and DDS Only).

DDS Figure 16

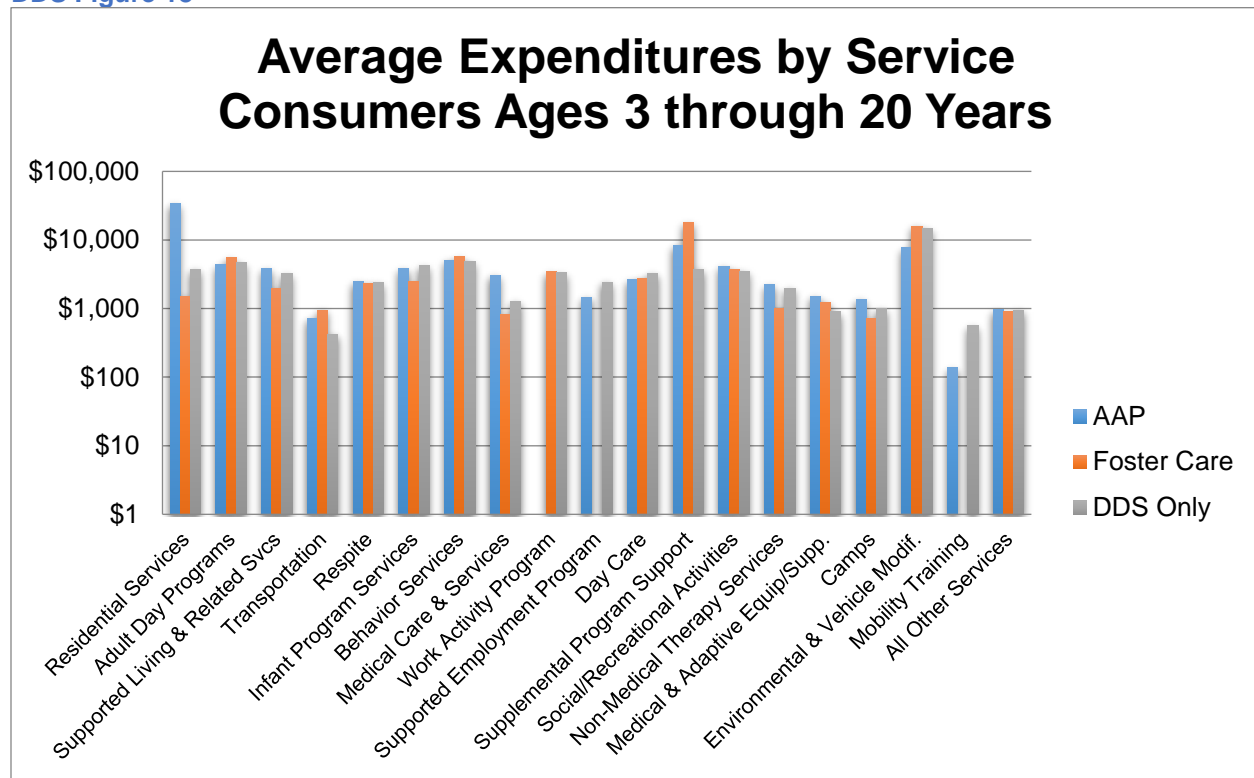


DDS Figure 17

Service Type	AAP	Foster Care	DDS Only	Total
Residential Services	\$2,947,562	\$1,520	\$2,783,308	\$5,732,389
Adult Day Programs	\$318,960	\$55,397	\$7,024,522	\$7,398,878
Supported Living & Related Services	\$1,121,104	\$179,238	\$43,861,454	\$45,161,795
Transportation	\$94,385	\$32,273	\$1,839,675	\$1,966,334
Respite	\$3,354,211	\$767,103	\$102,449,135	\$106,570,449
Infant Program Services	\$145,644	\$81,065	\$11,741,531	\$11,968,239
Behavior Services	\$2,154,846	\$829,006	\$55,290,858	\$58,274,709
Medical Care & Services	\$554,457	\$81,408	\$8,883,279	\$9,519,144
Work Activity Program	\$0	\$10,271	\$96,137	\$106,408
Supported Employment Program	\$4,398	\$0	\$161,536	\$165,933
Day Care	\$60,535	\$13,860	\$9,934,729	\$10,009,125
Supplemental Program Support	\$207,980	\$107,687	\$2,135,006	\$2,450,673
Social/Recreational Activities	\$436,106	\$130,337	\$13,264,130	\$13,830,573
Non-Medical Therapy Services	\$37,915	\$1,017	\$1,343,310	\$1,382,243
Medical & Adaptive Equip/Supp.	\$68,042	\$24,877	\$1,817,580	\$1,910,499
Camps	\$19,246	\$1,453	\$557,931	\$578,630
Environmental & Vehicle Modifications	\$100,520	\$31,678	\$2,187,907	\$2,320,105
Mobility Training	\$136	\$0	\$15,278	\$15,414
All Other Services	\$224,819	\$84,730	\$11,127,029	\$11,436,578
Total POS	\$11,850,865	\$2,432,920	\$276,514,335	\$290,798,119

DDS Figures 16 and 17 show total expenditures for services received by consumers, ages 3 through 20 years, by caseload (AAP, FC, and DDS Only).

DDS Figure 18

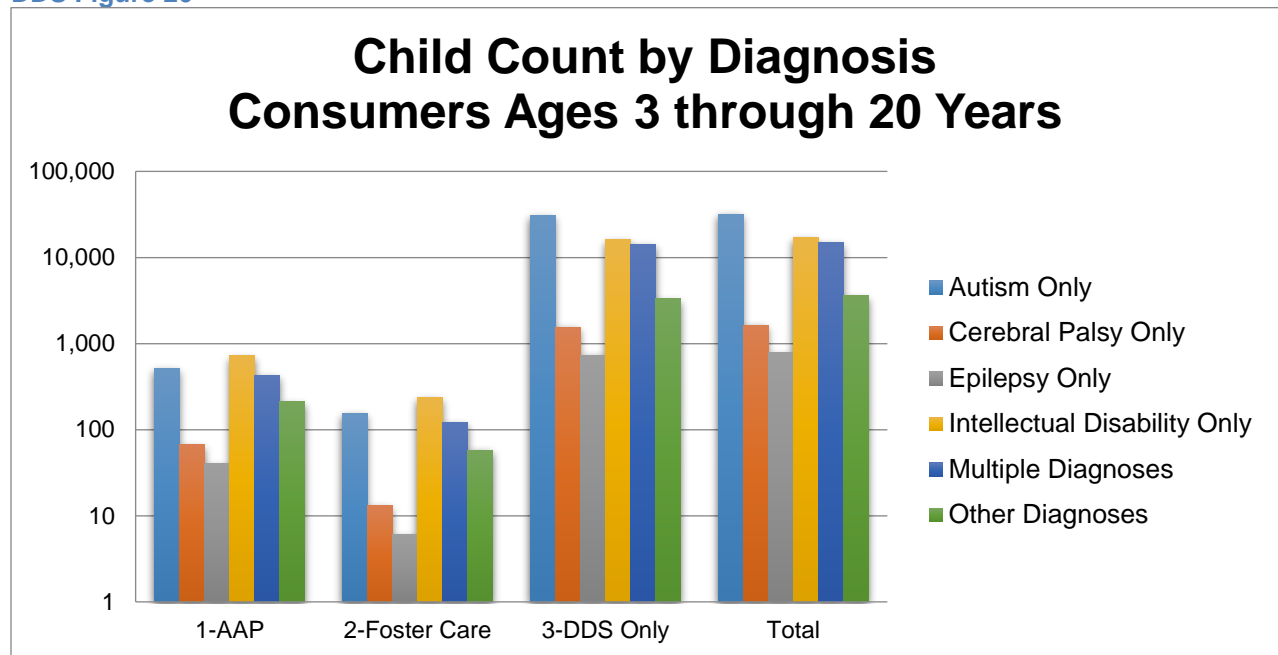


DDS Figure 19

Service Type	AAP	Foster Care	DDS Only
Residential Services	\$33,495	\$1,520	\$3,761
Adult Day Programs	\$4,369	\$5,540	\$4,618
Supported Living & Related Services	\$3,826	\$1,992	\$3,227
Transportation	\$720	\$949	\$425
Respite	\$2,490	\$2,353	\$2,384
Infant Program Services	\$3,833	\$2,457	\$4,213
Behavior Services	\$5,106	\$5,717	\$4,850
Medical Care & Services	\$3,030	\$814	\$1,288
Work Activity Program	\$0	\$3,424	\$3,315
Supported Employment Program	\$1,466	\$0	\$2,411
Day Care	\$2,632	\$2,772	\$3,267
Supplemental Program Support	\$8,319	\$17,948	\$3,759
Social/Recreational Activities	\$4,114	\$3,724	\$3,484
Non-Medical Therapy Services	\$2,230	\$1,017	\$1,973
Medical & Adaptive Equip/Supp.	\$1,512	\$1,244	\$900
Camps	\$1,375	\$727	\$989
Environmental & Vehicle Modifications	\$7,732	\$15,839	\$14,684
Mobility Training	\$136	\$0	\$566
All Other Services	\$965	\$901	\$947
Average POS	\$5,841	\$3,930	\$4,004

DDS Figures 18 and 19 graph the average expenditures by service for consumers, ages 3 through 20 years, by caseload (AAP, FC, and DDS Only).

DDS Figure 20



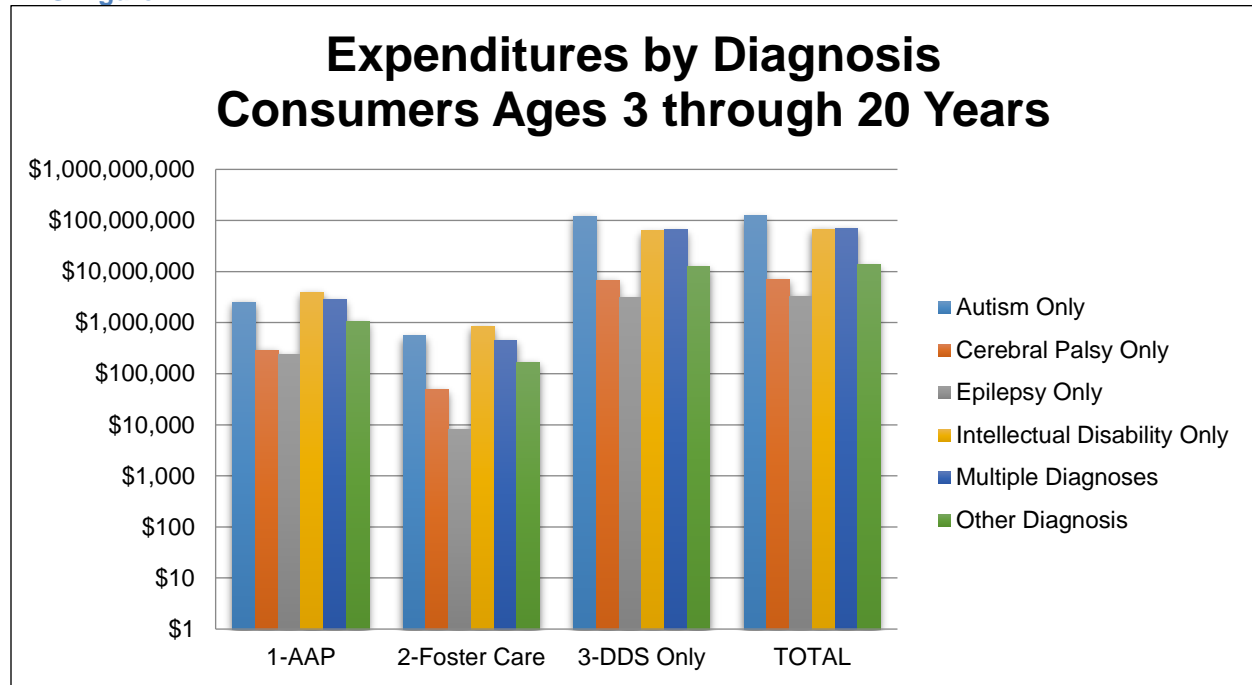
DDS Figure 21

Diagnosis	1-AAP	2-Foster Care	3-DDS Only	Total
Autism Only	517	156	31,151	31,824
Cerebral Palsy Only	67	13	1,554	1,634
Epilepsy Only	40	6	732	778
Intellectual Disability Only	720	234	16,061	17,015
Multiple Diagnoses	428	121	14,304	14,853
Other Diagnoses	212	58	3,309	3,579
Unduplicated Total Receiving Diagnosed POS	1,984	588	67,111	69,683

DDS Figures 20 and 21 show the unduplicated count of consumers, ages 3 through 20 years, receiving services beyond case management by caseload (AAP, FC, and DDS Only), and by diagnosis. The diagnoses include *Autism*, *Cerebral Palsy*, *Epilepsy*, *Intellectual Disability*, *Multiple Developmental Disability*, and *Other Diagnoses*.

Not all consumers receiving services beyond case management receive a diagnosis. Therefore, totals reported by diagnosis will not equal overall totals reported.

DDS Figure 22

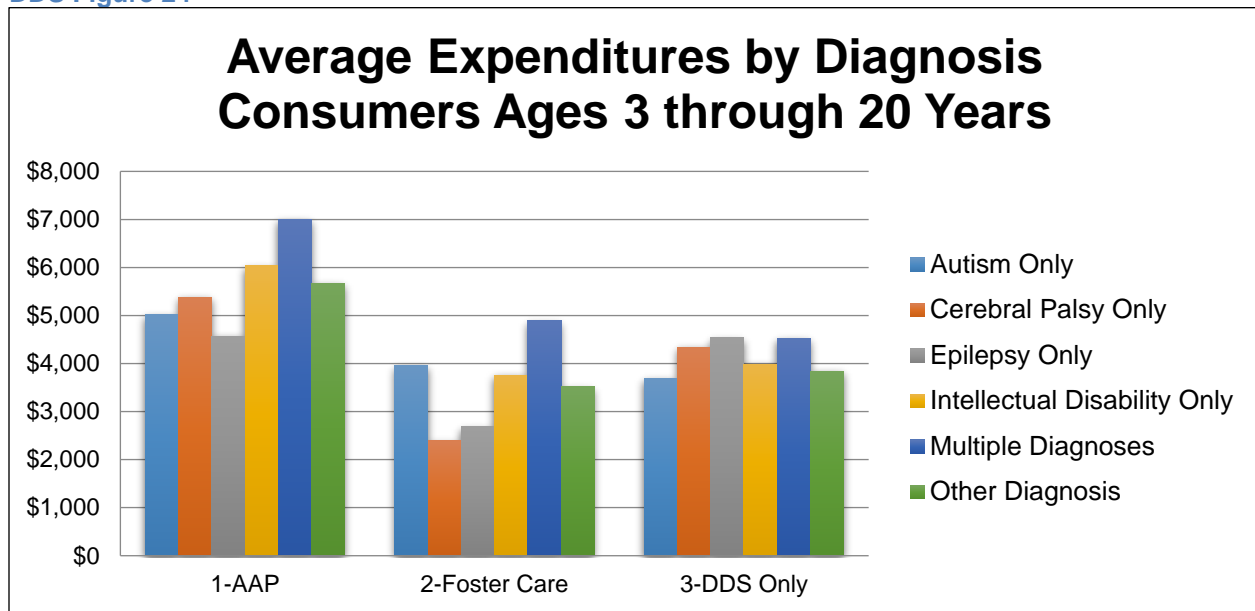


DDS Figure 23

Diagnosis	1-AAP	2-Foster Care	3-DDS Only	Total
Autism Only	\$2,594,619	\$617,461	\$114,924,350	\$118,136,430
Cerebral Palsy Only	\$360,132	\$31,048	\$6,743,529	\$7,134,709
Epilepsy Only	\$182,827	\$16,098	\$3,318,318	\$3,517,243
Intellectual Disability Only	\$4,344,918	\$879,649	\$64,023,461	\$69,248,027
Multiple Diagnoses	\$2,995,052	\$592,001	\$64,767,585	\$68,354,638
Other Diagnosis	\$1,202,604	\$203,939	\$12,719,417	\$14,125,960
Total Expenditures	\$11,680,153	\$2,340,196	\$266,496,659	\$280,517,007

DDS Figures 22 and 23 show the total expenditures for consumers, ages 3 through 20 years, by caseload (AAP, FC, and DDS Only) and by diagnosis.

DDS Figure 24

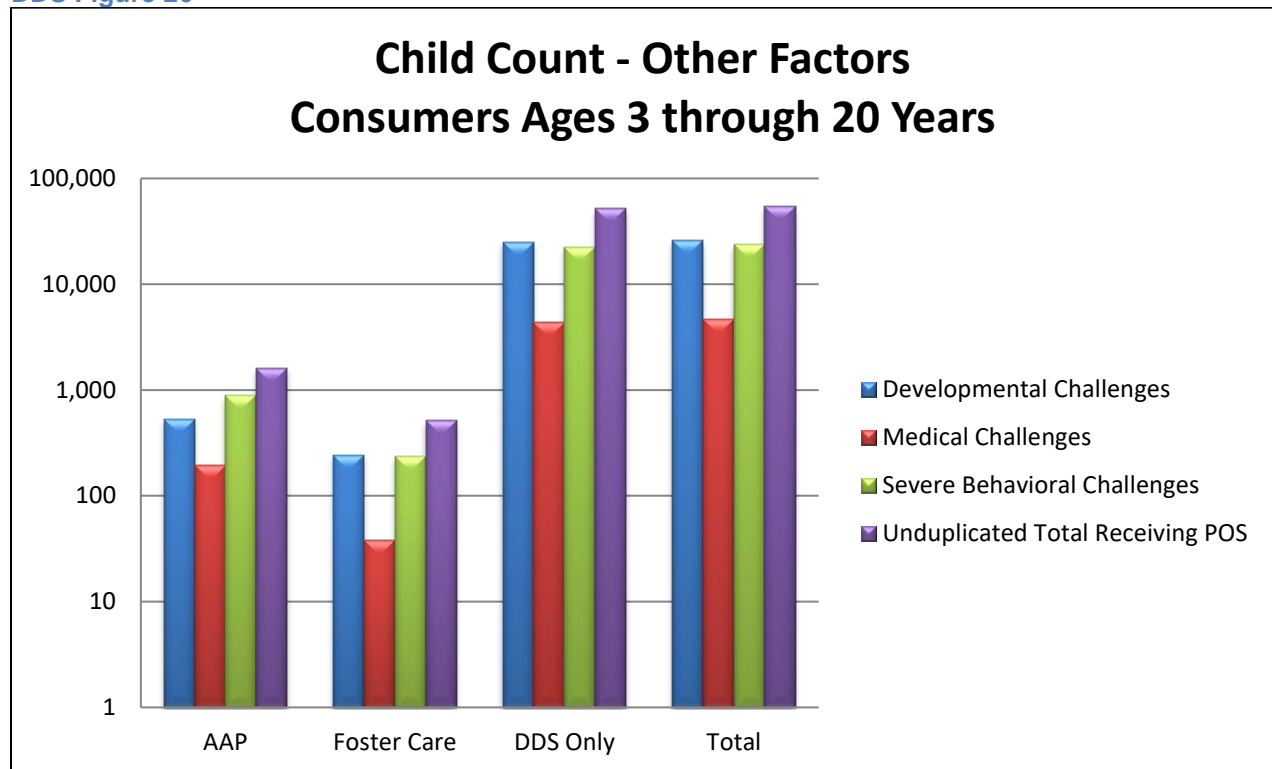


DDS Figure 25

Diagnosis	1-AAP	2-Foster Care	3-DDS Only
Autism Only	\$5,019	\$3,958	\$3,689
Cerebral Palsy Only	\$5,375	\$2,388	\$4,339
Epilepsy Only	\$4,571	\$2,683	\$4,533
Intellectual Disability Only	\$6,035	\$3,759	\$3,986
Multiple Diagnoses	\$6,998	\$4,893	\$4,528
Other Diagnosis	\$5,673	\$3,516	\$3,844
Total Average Dollars	\$5,887	\$3,980	\$3,971

DDS Figures 24 and 25 represent the average expenditures for consumers, ages 3 through 20 years, by caseload (AAP, FC, and DDS Only) and diagnosis.

DDS Figure 26

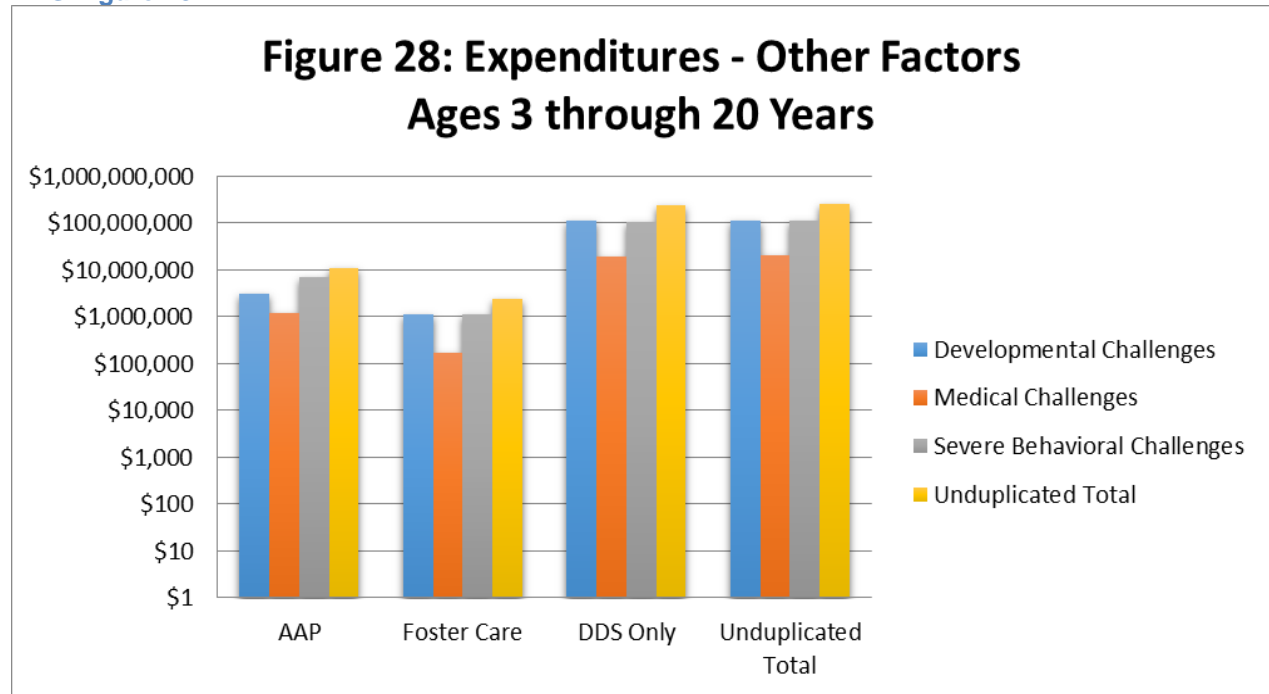


DDS Figure 27

Other Factors	AAP	Foster Care	DDS Only	Total
Developmental Challenges	533	243	25,149	25,925
Medical Challenges	195	38	4,405	4,638
Severe Behavioral Challenges	885	235	22,491	23,611
Unduplicated Total Receiving POS	1,604	510	51,932	54,046

DDS Figures 26 and 27 display the unduplicated count for consumers, ages 3 through 20 years, by caseload with assessed challenges not directly related to their diagnosis. These challenges are referred to as “Other Factors.” Not all consumers are assessed with “Other Factors.” Therefore, counts reported under “Other Factors” will not equal total child count.

DDS Figure 28



DDS Figure 29

Other Factors	AAP	Foster Care	DDS Only	Unduplicated Total
Developmental Challenges	\$3,026,116	\$1,134,496	\$111,800,281	\$115,960,893
Medical Challenges	\$1,232,299	\$167,787	\$19,696,208	\$21,096,293
Severe Behavioral Challenges	\$6,916,959	\$1,155,499	\$105,765,129	\$113,837,588
Unduplicated Total	\$10,980,823	\$2,434,954	\$236,630,590	\$250,046,367

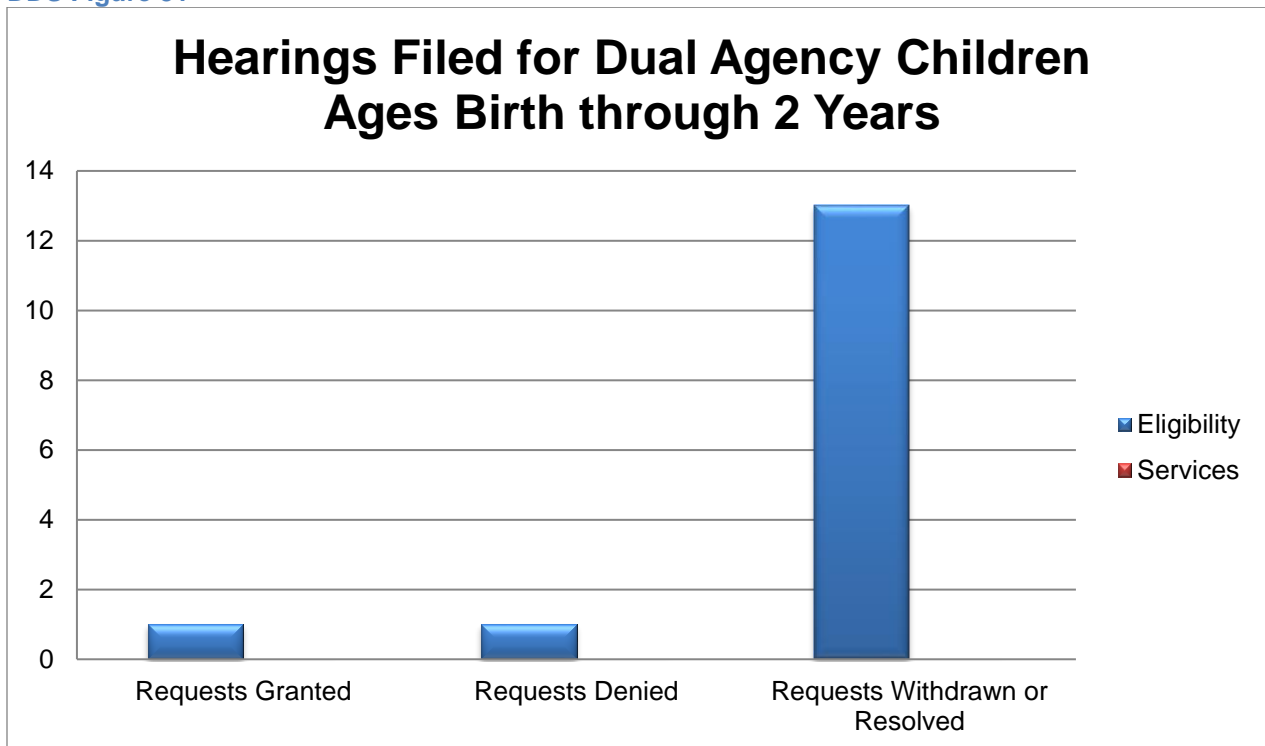
DDS Figures 28 and 29 show the total expenditures for consumers, ages 3 through 20 years, by caseload and by “Other Factors.”

Hearings

DDS Figure 30

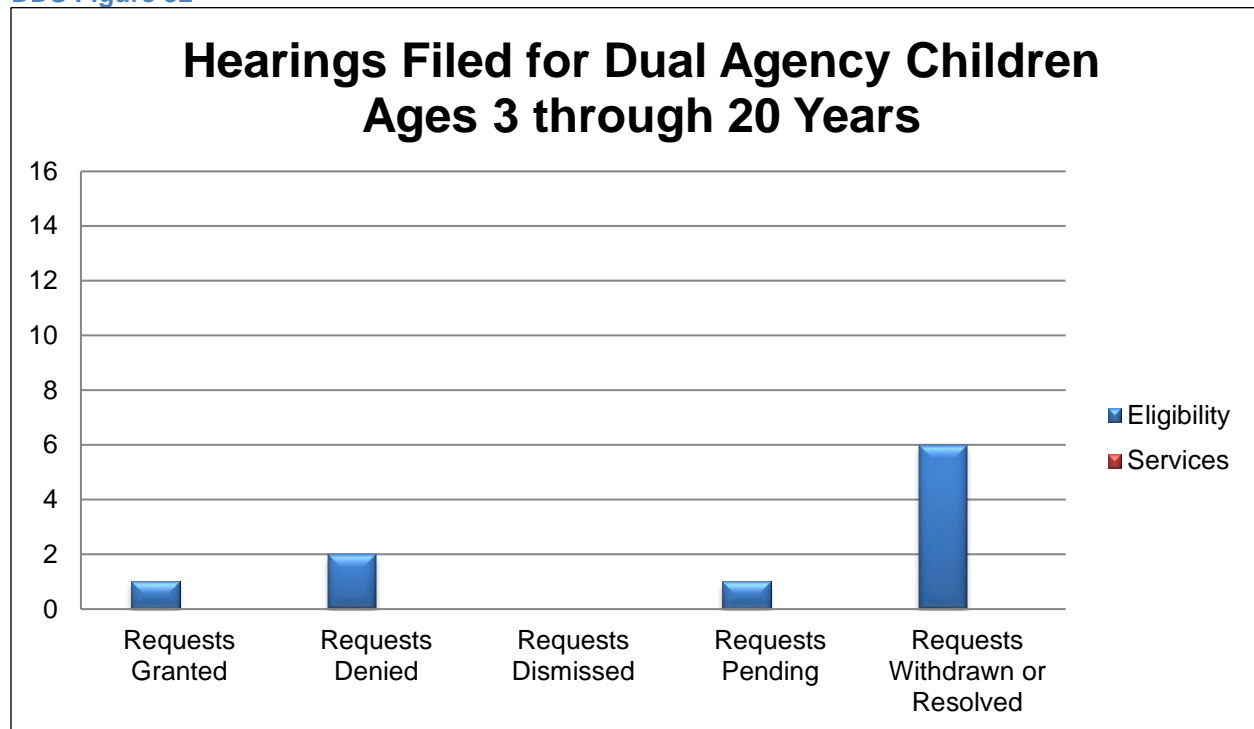
Hearing Outcomes Birth through 2 Years	Eligibility	Services	Grand Total
Requests Granted	1	0	1
Requests Denied	1	0	1
Requests Withdrawn or Resolved	13	0	13
Unduplicated Total	15	0	15

DDS Figure 31



DDS Figures 30 and 31 show hearings requested for AAP/Foster Care Dual Agency consumers, ages 0 through 2 years, for this report period.

DDS Figure 32



DDS Figure 33

Hearing Outcomes 3 through 20 Years	Eligibility	Services	Grand Total
Requests Granted	1	0	1
Requests Denied	2	0	2
Requests Dismissed	0	0	0
Requests Pending	1	0	1
Requests Withdrawn or Resolved	6	0	6
Unduplicated Total	10	0	10

DDS Figures 32 and 33 show hearings requested for AAP/Foster Care Dual Agency consumers, ages 3 through 20 years, for this report period.

REPORT SUMMARY

The following conclusions can be drawn from the data in this report:

Since implementation of the dual agency rate in 2007, the information contained in successive reports has illustrated that the rates serve a relatively consistent population. There have been no inquiries nor policy changes requested as a result of these reports. For the 2018 calendar year and beyond, the two departments commit to continue making data about the dual agency rate available, and the Administration has proposed a repeal of the reporting requirement.